APPENDIX F

Small Business and Agriculture Regulatory Enforcement Ombudsman

The Small Business and Agriculture Regulatory Enforcement Ombudsman and 10 Regional Fairness Boards were established to receive comments from small businesses about federal agency enforcement actions. The Ombudsman will annually evaluate the enforcement activities and rate each agency's responsiveness to small business. If you wish to comment on DEA enforcement actions, you may contact the Ombudsman at 1-888-REG-FAIR (1-888-734-3247).

APPENDIX G

Additional Assistance

This publication is intended to provide guidance and information on the requirements of the Controlled Substances Act and its implementing regulations. If you require additional clarification or assistance, or wish to comment on any matter regarding the DEA's requirements or regulatory activities, please contact your local DEA Diversion field office (see Appendix E). Every effort will be made to respond promptly to your inquiry.

Plain Language

The Drug Enforcement Administration has made every effort to write this manual in clear, plain language. If you have suggestions as to how to improve the clarity of this manual, please contact us at:

Drug Enforcement Administration Office of Diversion Control Liaison and Policy Section Washington, D.C. 20537 Telephone: (202) 307-7297

APPENDIX H – DEA FORMS

The following pages provide samples of several forms frequently encountered by DEA registrants. Included are:

- **DEA Form 41** Registrants Inventory of Drugs Surrendered
- **DEA Form 106** Report of Theft or Loss of Controlled Substances
- **DEA Form 222** U.S. Official Order Form for Controlled Substances
- **DEA Form 224** Application for Registration
- **DEA Form 224a** Renewal Application for DEA Registration
- **DEA Form 363** Application for Registration as a Narcotic Treatment Program
- **DEA Form 363a** Renewal Application for DEA Registration as a Narcotic Treatment Program

OMB Approval No. 1117 - 0007	U.S. Department of Justice / Drug E REGISTRANTS INVENTORY OF			NDERE		KAGE NO.	
The follo	owing schedule is an inventory of controller disposition.	d substance	s which	is hereby	surrendered	o you	
OM: (Include Nam	e, Street, City, State and ZIP Code inspace provided belo	ow.)					
		•		Signature o	l applicant or author	nzed ager	t _.
		\neg					
	•						
1				Registrants	DEA Number		
L				Registrants	Telephone Numbe	t ·	
							<u> </u>
OTE: CERTIFIED I	MAE (Return Receipt Requested) IS REQUIRED FOR SP VIA U.S. POSTAL SERVICE. See instructions on reverse	UPMENTS (page 2) of form	% .				
	NAME OF DRUG OR PREPARATION	Number	CONTENT (Number of græns, labiets,	S Con- trolled Sub- stance	FOR DE	DEA USE ONLY	
		Con- taines	ounces a other unit per con-	Con-	DISPOSITION	QUANTITY	
Rec	is trants will fill in Columns 1,2,3, and 4 ONLY.		tainer)	(Liu)	_	GMS.	MGS.
	1	2	3	4	5	5	7
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NAME OF DRUG OF PREPARATION	Number	CONTENTS (Number of greens, tablets,	Con- trolled Sub- stance	FOR DEA	USE O	NLY
	Containes	ounces or other units per con-	Con- tent, (Each	DISPOSITION	QUA	ИТПҮ
Registrants will in Columns 1.2.3, and 4 ONLY		teiner)	Unit		GMS.	MGS
1 7	2	3	4	5	.5	7
3				•		
ı						
·						
<u>i </u>				•		
<u>i</u>						
1						
The controlled substances surrendered in accordance with Ti inpackages purporsing to contain the drugs listed on thi [2] Destroyed as indicated and the semainder forwarded tape easi DATE	is inventory and have been: aled after verifying contents;	" (1) Forwarded (3) Forwarded	ed tape-s tape-ses	aind without openin ed atter verifying co	g: nterda.	<u> </u>
	INSTRUCTIONS	. .				
 List the name of the drug is column I, the number of contain controlled substance content of each unit described in column culfate tabe., 1 pkg., 83 tabs., 1/2 gr. (32mg.), sec. 	ets in cohumn 2, the size of each 2 3; e.g., morphine sulfate tabs	h contaîner in c 3 părgs., 100 tz	olema 3, s bs., 124 gr	nd in column 4 the . (16 mg) or metphin		
2. All packages included on a single line abouted be identical in	usms, content and controlled an	persuca maniij	b .	-		
 Propare this form in quadruplices. Mail two (2) copies of this copy in the shipmoent with the drugs. Rettin one copy for you farmished to you nucless specifically requested. Any further in serves your area. 	is form to the Special Agent in the records. One copy will be retextuined concerning these drugs	Chergo, amdar s arosed to you as abould be added	epæate co a receipt. essed to th	ver. Englove one addi No further receipt wi DEA District Office	itsonal 21 ba wżecz	

- There is no provision for payment for drugs surrendered. This is merely a service rendered to registrants enabling them to obsertibeir stocks and records of unwanted items.
- Drugs should be shipped tope-sealed via prepaid express or certified mail (returns receipt requested) to Special Agent in Charge, Drug Enforcement Administration, of the DEA District Office which surves your area.

PRIVACY ACT INFORMATION

AUTHORITY: Section 307 of the Controlled Substances Act of 1970 IPL 91-513).
PURPOSE: To document the surrender of controlled substances which have been forwarded by registrants to DEA for disposal.
ROUTINE USES: This form is required by Federal Regulations for the surrender of unwanted Controlled Substances. Disposal information from this system are made to the following categories of uses for the purposes stated.

A. Other Federal law enforcement and regulatory agencies for law enforcement and regulatory purposes.

B. State and local law enforcement and regulatory agencies for law enforcement and regulatory purposes.

EFFECT: Failure to document the surrender of unwanted Controlled Substances may result in prosecution for violation of the Controlled Substances Act.

Under the Paperwork Raduction Act, a person is not sequired to appoind to a collection of information unless it displays a currently valid OMB control number. Public reporting burden for this collection of information is estimated to average 30 minutes per septimes, including the time for reviewing instructions, searching additional data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send controlled the senting this burden, so the Drug Endocement Administration, FOI and Records Management Section, Washington, D.C. 20537; and to the Office of Management and Budget, Paperwork Reduction Project no. 1117-0007, Washington, D.C. 20503.



REPOR	RT OF THEFT C	R LOSS C	OF CC	TNC	RO	LLED	S	UBSTAN	ICES
Federal Regulations require registrants to	submit a detailed report	of any theft or l	lose of C	contro	lied S	ubstanc	es la	the Drug	
Enforcement Administration, Complete the front and back of this form i Retain the triplicate copy for your records.	n triplicate. Forward th Some states may also	e original and d	uplicate of this in	copie report	es to 1	he near	951 E	DEA Office.	OMB APPROVAL No. 1117-0001
1. Name and Address of Registrant (include 2								2. Phone N	o. (Include Area Code)
•			_	ZIF	000	E	,		
3. DEA Registration Number	4. Date of 7	Theft or Loss	5. Pr	incipa	d Busi	ness of f	Regi	L strant (Check	k one)
2 ltr. prefix 7 digit suffix						ırmacy	•		Distributor
	1 1 1			2 🛚		clitioner			Methadone Program
				3 4		ufacture pital/Clin		, r	Other (Specify)
	Theft reported 8.	Name and Tele	phone N	umba		•		ebulant) ine	Area Code)
· 📗	Yes No								
9. Number of Thefts or Losses Registrant 1	D. Type of Theft or Lor	s (Check one s	and com	plata	ilems	below:	25 A	ppropriate)	
has experienced in the past 24 months	1 Night break in	3 □ ⊑	mployee	pilfe	raga		5	Other (I	Exclain)
1	2 Armed robbery	_	ustomer	-	-				ransit (Complete Item 14)
11. If Armed Robbery, was anyone:	12.	Purchase value Controlled Subs	to regis	trani	gf		3.	Nore any ph	armaceuticals or
Killed? [] No [] Yes (How many)		Controlled Subs	Kances I	taken	7	ŀ		merchandise	≀taken? ☐ Yes (Est. Value)
Injurad? No Yes (How many)	s							\$	_ , , , , ,
14. IF LOST IN TRANSIT, COMPLETE THE						l			
A. Name of Common Carrier	B. Name of Co	onsignee				Ţc	. C	nsignoc's D	EARegistration Number
						- 1			
						1			•
D. Was the carton received by the customer	E. If received,	did it appear to	be tam	perad	with?	F			riensed losses in transit
	į.						îro	m this same	carrier in the past?
Yes No	☐ Yes	• 🗆	No					No 🗌	Yes (How Many)
15. What identifying marks, symbols, or price	codes were on the lab	els of these cor	ntainers	1hat	would	assisti	n îde	entifying the	products?
16. If Official Controlled Substance Order Fo	irma (DEA-222) word a	tolen, give num	bers.						
	•	-							
									
17. What security measures have been take	n to prevent future ther	ts or losses?							
					•				
PRIVACY ACTINFO	ORMATION	 i	In acc-	vrđan-	ne sati	h the re	ones	work Dadus	tion Act of 1995, no person is
AUTHORITY: Section 301 of the Controlled Su PURPOSE: Report theft or biss of Controlled Su ROUTINE USES: The Controlled Substances A	bstances Act of 1970 (F		require valid O collecti	d to r MB c on of	espoi ontro infor	nd to a d I numbe nation is	colle r. Ti : 11°	ection of Info ne valid OM 17-0001. Put	rmation unless it displays a ly B control number for this dic reporting burden for this werage 30 minutes per
special reports required for statistical and ar information from this system are made to the purposes stated:	elytical purposes. Discl	losures of	respons	se , li g dati	ncludi B Boui	ing the t noes, ga	ime iher	for reviewing ing and mai	ig instructions, searching intaining the data needed, and
A. Other Federal law enforcement and regula	alory agencies for law e	nforcement	comple	មាម្ប	e iu fe	ณคลเบเ	11119	COMPONDUC	finomation.
and regulatory purposes. B. State and local law empreement and regul	latory agencies for law	enforcement							
and regulatory purposes.									
EFFECT: Failure to report theft or loss of contri penalties under Section 402 and 403 of t	and substances may re he Controlled Substant	asultin as Act.							

FORM DEA - 106 (11-00) Previous editions obsolete

CONTINUE ON REVERSE

Name of Controlled Substance in Preparation	Dosage Strength and Form	
Mathamphetamine Hydrochloride	5 mg Tablets	3 x 100
Maparidina Hydroshlorida	50 mg/ml Vial	5 x 30 mi
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foregoing information is correct to the best of r	ny knowledge and belief.	
	Meperidina Hydroshlorida Codeina Phoephata	Maperidine Hydrochloride Codeine Phosphate Image: Codeine Phosphate Im

DEPICTION of PAGE 1 of DEA FORM-222 U.S. OFFICIAL ORDER FORM - SCHEDULES I & II

Se		of PURCHAS Instructions	No order form	omay be issued for Schedule I and II substances unless a OMB APP No. 1117-													
Т:	(Name of Si	pplier)			STREET	ADI	DRE	ESS		•	-						
CIT	and STAT	Ε .	DATE	•					TO	BE	FIL	LEC	11	BY	'Sl	UPPLIER	
						SU	PP	IEF	SD	EΑ	REG	IST	R/	TI ·	ΝI	No.	
	,	TO BE FIL	LED IN BY PURCHAS	ER	-												
- z ⊞ <u>2</u> 9.	No. of Packages	Si e of Package	Name of	Item				Nat	ona	l Dn	.ıg C	ode	;			Packages Shipped	Date Shipped
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2																	
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Date	e Issued		DEA Registration No.	iname	and Addre	ess (or K	egis	tran	ι						-	
Sob	edules																
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Reg	jistered as a		No. of this rder Form		· · · .												

DEA Form-222 ct. 1992 U.S. OFFICIAL ORDER FORMS - SCHEDULES I & II

DRUG ENF RCEMENT ADMINISTRATI N SUPPLIER'S Copy 1

Note: The graphic illustrated above is not intended to be used as an actual order form.

Form-224		FOR REGISTRATION led Substances Act	APPROVED OMB NO 1117-00 FORM DEA-224 (&C Previous editions are obsole
INSTRUCTIONS	To apply by mail complet Print dearly, using black Mail this form to the addi Include the correct paym If you have any question Saye time - apply online Saye time - apply	a this application. Keep a copy for your records or this his, or use a typewher. ast provided in Section 7 or use enclosed enve ort amount. FEE IS NON-REFUNDABLE. a call 600-80-9050 ptc in submitting your app at www.deadiversion.us.doj.gov.	REGISTRATION INFORMATION :
•		ND THIS APPLICATION AND APPLY ONLINE	
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			\$390.00
	-		FEE IS NON-REFUNDABLE
SECTION 1 APP.	LICANT		LEE IN HOUSE INTOLDED
IDEI	rnfication tration is the behinding ACC	I- Business or Facility Name (If registratio	on le for teroinace antitui
Lust Harlo (i regu			
First Name () regis	tration le for individual)	o i maintain tamban dan dan dan dan dan dan dan dan dan d	tamboo dhaanka ah aa ka aa ka aa ka aa ka ah aa ka ah aa ka aa ka aa ka aa ka aa ka aa ka aa ka aa ka aa ka aa Maddi Felia
Business or Facilit	V Name 2 ("doing business as	, continuation of business reame, or name of te	e exempl institution)
Address Line 1 (str	oot address)	er formalte mediem er kommende er er kommende er er kommende er er kommende er er kommende er er kommende er e Er er	geller von 18. zu allem meinem gelle geziehen meille were die geziehen der von der von der der de begrennte men de Territoria
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City	· · · · · · · · · · · · · · · · · · ·		State Zip Code
Business Phone N	umber	Business Fax Number	endi
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INFORMATION Mandatory pursuant to Debt Collection Improvements Act		was the first control of the f	Provide SBN or TI See note #3 cm bottom of page 2
SECTION 2	Hospital/Clinic	Ambulance Service	PROFESSIONAL
BUSINESS ACTIVITY		accessed and a second a second and a second	(DDS, DMD, DO, DPM, DVM, MD or PHD) DEGREE Presitioner Military Presitioner Military
Chack one box only	Nursing Home	Animal Shelter	Practitioner Mittary (DDS, DMD, DO, DPM, DVM,MD or PHD) Practional and with the profession degree from list
See page 3 for additional instructions	Central FII Pharmacy	Teaching inetitution	Mid-level Practitioner (MLP) (DCM, HMD, MP, ND, NP, OD, PA, or RPH)
us.*	Retail Phermacy	Automated Dispensing System	C Euthanasia Technician
FOR Automated Dispensin (ADS) ONLY:	g System DEA Regi of Refail F for this AD	shallon ≠ harmacy S	An ADS is automatically to-exampt. Skip Section 6 and Section 7 on pope 2. You must attach a noticized affidavil.
SECTION 3	Schedule II Narcollo	Schedule III Na	rection Schedule IV
DRUG SCHEDULES	Schedule II Non-Nare	anners .	your .
Chack all that apply	Check this box if you	require official order forms for purchase o	

SECTION 4	Are you currently authorized to prescribe, distribute, dispense, conduct research, or otherwise his the schedules for which you are applying under the laws of the state or jurisdiction in which you	indle the controlled substances in are operating or propose to operate?
STATE LICENSE(S)	YES PENDING NO	State
Be sure to include both state license numbers		License Number
f applicable		State Controlled Substance License Number (if required)
	I. Has the applicant ever been convicted of a crime in connection with controlled substance(s) un	YES NO
LIABILITY	2. Has the applicant ever surrendered (for cause) or had a federal controlled substance registratio	revoked suspended.
IMPORTANT	restricted, or denied?	Sand Sand
	 Has the applicant ever surrendered (for cause) or haid a state professional floence or controlled revoked, suspended, denied, restricted, or placed on probation? is any such action pending? 	
4	if the applicant is a corporation (other than a corporation whose elock is owned and traded by the partnership, or pharmacy, has any officer, pattner, stockholder, or proprietor been convicted of the controlled substance(s) under state or federal law, or ever surrandered, for cause, or that a feder registration revoked, suspended, restricted, denied, or ever had a state professional icense or or registration revoked, suspended, denied, restricted or placed on probablich?	is joined, association, with: a clime in connection with: a controlled substance ontrolled substance
EXPLANATION OF "YES" ANSWERS	Data(s) of incident: Location(s) of incident	· ·
Applicants who have answered "YES" to any of the four questions above must provide a statement to explain such answers	Naîlija of Incident:	
Use this space or attach a separate sheet and return with application	Result of incident	
SECTION 6	Check this box if the applicant is a federal, state, or local government operated hospital, institute the name and address of the example institution in Section 1.	tution or official.
CERTIFICATION OF EXEMPTION from application (see	The undersigned hereby certifies that the applicant named hereon is a federal, state or local governation of official, and is exempt from payment of the application fee.	ernment-operated hospital,
Provide the name and phone number of the certifying official	Signature of certifying official (other than applicant) Date	
4	Print or type name and title of certifying official Teleph	none No. (required for verification)
SECTION 7	Print or type name and little of certifying official Telept Check Meta-check payable to: Drug Enforcement Administration See page 4 of Instructions for Important Information.	none No. (required for verification)
METHOD OF PAYMENT	Make check psyable to: Drug Enforcement Administration	Mall this form with payment to:
METHOD OF	Meks check payable to: Drug Enforcement Administration See page 4 of instructions for important information.	Mall this form with payment to: U.S. Department of Justice
METHOD OF PAYMENT Chack one form of	Check Meks check payable to: Drug Enforcement Admiristration See page 4 of Instructions for Important Information. American Express Discover Master Card Visa	Mail this form with payment to: U.S. Department of Justice Drug Enforcement Administration P.O. Box 28083
METHOD OF PAYMENT Chack one form of	Check Meks check payable to: Drug Enforcement Admiristration See page 4 of Instructions for Important Information. American Express Discover Master Card Visa	Mail this form with payment to: U.S. Department of Justice Drug Enforcement Administration
METHOD OF PAYMENT Check one form of payment only Sign I' paying by	Check See page 4 of Instructions for Important Information American Express Discover Master Card Visa Credit Card Number Expiration Date	Mail this form with payment to: U.S. Department of Justice Drug Enforcement Administration P.O. Box 26063 Washington, DC 20038-8083
METHOD OF PAYMENT Chack one form of payment enty Sign I paying by credit card	Check See page 4 dilinstructions for important information. Check See page 4 dilinstructions for important information. Check See page 4 dilinstructions for important information. Check See page 4 dilinstructions for important information. Check See page 4 dilinstructions for important information. Check See page 4 dilinstructions for important information. Check See page 4 dilinstructions for important information. Check See page 4 dilinstructions for important information. Check See page 4 dilinstructions for important information. Check See page 4 dilinstructions for important information. Check See page 4 dilinstructions for important information. Check See page 4 dilinstructions for important information.	Mail this form with payment to: U.S. Department of Justice Drug Enforcement Administration P.O. Box 26063 Washington, DC 20038-8083
METHOD OF PAYMENT Chack one form of payment only Sign I paying by credit card	Check See page 4 of Instructions for Important Information American Express Discover Master Card Visa Credit Card Number Expiration Date Signature of Card Holder Printed Name of Card Holder I certify that the foregoing Information furnished on this application is true and correct.	Mail this form with payment to: U.S. Department of Justice Drug Enforcement Administration P.O. Box 26063 Washington, DC 20038-8083
METHOD OF PAYMENT Chack one form of payment only sign I paying by credit card SECTION 8 APPLICANT'S SIGNATURE	Check See page 4 of Instructions for Important Information American Express Discover Master Card Visa Credit Card Number Expiration Date Signature of Card Holder Printed Name of Card Holder I certify that the foregoing information furnished on this application is true and correct.	Mail this form with payment to: U.S. Department of Justice Drug Enforcement Administration P.O. Box 26063 Washington, DC 20038-8083 FEE IS NON-REFUNDABLE
METHOD OF PAYMENT Check one form of payment only Sign I paying by credit card SECTION 8 APPLICANT'S SIGNATURE Sign in link 1. No registration will be 2. In accordance with the valid CMB control nur the time for reviewing 1. The Debt Collection in This number is require 4. PRIVEY ACT INFOR	Check See page 4 of Instructions for important Information. American Express Discover Master Card Visa Credit Card Number Express Signature of Card Holder Printed Name of Card Holder Cartify that the foregoing information turnished on this application is true and correct. Signature of applicant Discover	May this form with payment to: U.S. Department of Justice Drug Enforcement Administration P.O. Box 28083 Washington, DC 20038-8083 FEE IS NON-REFUNDABLE atio ationally furnishes false or you thin \$30,000, or both. asys a valid OMB control number. The rage 12 minutes par response, including wiewing the collection of information. Security Number on this application.
METHOD OF PAYMENT Check one form of payment entry Sign if paying by credit card SECTION 8 APPLICANT'S SIGNATURE Sign in Ink 1. No registration will be 2. In accordance with the valid OMB control nur the time for reviewing 9. The Debt Collection in This rumber is require	Check See page 4 of instructions for important information. American Express Discover Master Card Visa Credit Card Number Expiration Date Credit Card Number Expiration Date Signature of Card Holder Printed Name of Card Holder I certify that the foregoing information furnished on this application is true and correct. Bignature of applicant Print or type name and title of applicant WARNING: Section 34.3(a)(4;A) of Title 21, United States Code states that any person who knowingly or interest information in the application is incomed on the income of the control of 1903, no person is required to respond to a colection of information unless it day not reflect the colection of 1903 in person is required to respond to a colection of information unless it day instructions, exacting estating data sources, gettlering and maintaining the data reacted, and completing and in provenants Act of 1906 (Pt. 104-134) requires that you turnish your Expanyor Identifying Number and/or Social accurity number; WARTICN Section 302 and 500 of the Controlled Substances Act of 1970 (Pt. 91-515) and Data Colection Improvenant and interestion in quiet of the register applicants pursuant to the Controlled Substances Act of 1970 (Pt. 91-515) and Data Colection Improvenants on quiet of the register applicants pursuant to the Controlled Substances Act of 1970 (Pt. 91-515) and Data Colection Improvenants on a colection of the controlled Substances Act of 1970 (Pt. 91-515) and Data Colection Improvenants and the province of the controlled Substances Act of 1970 (Pt. 91-515) and Data Colection Improvenants and the province of the province of the province of the controlled Substances Act of 1970 (Pt. 91-515) and Data Colection Improvenants and the province of the province of the province of the province of the province of the province of the province of the province of the province of the province of the province of the province of the province of the province of the province of the province of the province of the province of the provinc	Mail this form with payment to: U.S. Department of Justice Drug Enforcement Administration P.O. Box 28083 Washington, OC 20038-8083 FEE IS NON-REFUNDABLE Stonelly humishes false or record than \$30,000, or both. The right 12 minutes per margorine, including wideling the collection of information. Security Number on this application. Wements Act of 1008 (PL 104-134) (for to. all enally lice) purposess. Disclosures of each of a purposess.

Form-224		N FOR REGIS							
	Supplementary	Instructions and In	formation		·				
ADDITIONAL INSTRUCTIONS	annoer ac	ANT IDENTIFICATION - In mpt applications must list i idress a post office box ma (TIM) If applying as a busin Ascessor informations is ma	ka pe iµgnorect Viblic⊈i	n must onter a valo locial	security number (SSM),	ata antry errors. required; after the or a law identification			
	SECTION 2. BUSINESS ACTIVITY - Indicate crty one. Practitioners also order one degree from the list: DDB, DMD, CO, DPM, DVM, MD or PHD. Mild-level practitioners also enter one degree from these choices: DDM, HMD, MP, ND, NP, QD, PA, or RPH.								
	Permit of license nu Required Statemen	rent DEA registration numit 1) Name of parent retail ph (mber(s) and date is sued o (ii) This afficiant is authoritie commence proceedings material information con corporation/partnership committee the real in their	if State centification to o id to obtain a DEA regis to deny the application tained in this attident in business to prosecution	perate ADS at named LTC hatton number. If any man hatton audien 304 of the A any autiport the peraon algo under section 403 of the A	tacilly irtel information in false, ct (21 U.S.C. 0224(a)). Ing this afficient, and the ct (21 U.S.C 043).	the Administrator may Any inise or traudulant married			
	SECTION 3. DRUG B requirem to transf	5) Name of corporation operating the retail pharmacy (i) Name and title of corporate officer signing affidavit (7) Signature of authorized officer SECTION 3. DRUG 8CHEDULES - Applicants should check all drug schedules to be handled. However, applicants must attli comply with state requirements; federal negatiration does not overrule state restrictions. Check the order form box only if you intend to purchase or to inarrate schedule ill controlled substances. Order forms will be mailed to the registered address following issuance of a Cartificate of Registration.							
	SECTION 4. STATE LICENSE(S). Federal registration by DEA is based upon the applicant is compliance with applicable state and local laws. Applicants should contact the local state consting authority prior to completing this application. If your state requires a separate confined substance number, provide that number on this application. If a state license has not yet been issued, indicate "Pending". If state licensing authority is not required, indicate "No". SECTION 5. LIABILITY - Applicants must enswer at four questions for the application to be accepted for processing. If you answered "Yes" to zery question, provide an explanation in the space provided. If additional space is required, you may attact a separate sheet of p								
-	SECTION 6. GERTIF operated authority	CATE OF EXEMPTION - I d hospitals, institutions and title, and talephone numb	Examption from paymer deficials. The applicant er of the certifying official	ri of application foe is limits is superior or agency offici si (other than the applicant	id to federal, state or loo or must certify exempt a must be provided.	al government latus. The signature,			
	SECTION 7. METHO	D OF PAYMENT - Indicals rty checks or checks draw	the desired method of a	savment. Make checks pa	vable to *Drug Enforcer	nent Administration".			
	SECTION 6. APPLICA	ANT'S SIGNATURE - Must	l be the original signatur	e (in ink) of the applicant.					
CONTACT INFORMATION	ATLANTA DIVISION (ATTN: Registration 75 Spring Street, SW, Allanta, GA 30303	OFFICE Sulto 600)	DETROIT DIVISION (431 Howard Biree) Detroit, MI 45226		PHILADELPHA DIVI William J. Green Fede 600 Arch Street, Roon Philadelphia, PA 1910	aral Building n 10224			
1.INTERNET	Georgia North Carolina	(666) 669-9935 (666) 219-6659	Kentucky Michigan Ohio	(600) 230-6644 (600) 230-6644 (600) 230-6644	Belaware Pennsylvania	(685) 393-5231 (685) 393-5231			
www.deadlysraion.usdcj.gov 2. TELEPHONE Headquarters Call Center	Tennessee BOSTON DIVISION O	(888) 533-6953 (888) 219-7835 FFICE	EL PASO DIVISION C El Paso Fociaral Justik 600 South Massa Hills	to Cantor .	PHOENIX DIVISION (2010 N. 2nd Street, 9 Phoenix, AZ 65012	OFFICE ute 301			
(000) 002-9539	JFK Federal Building 15 New Sudbury Street Boston, MA 02200-013	xt, Room E400 31	El Paso, TX 79912 New Mexico	[215] 532 -6014	Artoona	(000) 741-0902			
3. WIRITTEN INQUIRIES DEA RO. Box 20003	Connecticul Mains Massachusetis	(817) 557-2290 (800) 272-5174 (817) 557-2465	HOUSTON DIVISION 1433 West Loop Sout Houston, TX 77027-9	OFFICE h Suis 600	SAN DIEGO DIVISIO 4560 Viewridge Avens San Diego, CA 92125	18			
Washington DC 20038-8083	New Hampshire Rhode Island	(888) 272-5174 (817) 557-2200	Texas (5. & Central)		California (Southern)	(600) 284-1152			
4. DEA OFFICES DEA Offices are listed (880), 577, and 555	Vermoni CARIBBEAN OIVISIO P.O. Box 2167	(000) 272-5174	LOS ANGELES DIVIS 235 East Temple Strei Los Angeles, GA 9001	SON OFFICE	SAN FRANCISCO DI 450 Goldion Galo Avo P.O. Box 39033 San Francisco, CA 94	nue, 14th Floor			
are tol-free numbers)	San Juan, PR 90922-0	* * *	California (8. Central)	(213) 621-896D	California (Northern)				
	Pusito Rico U.S. Virgin Islands CHICAGO DIVISION	(707) 775-1796 (707) 775-1798 DEFICE	Hawali Nevada Trust Territory	(666) 415-9522 (666) 415-9522 (213) 594-2216	SEATTLE DIVISION 400 Second Avenue, Sectio, WA 00119	OFFICE Visat			
	Kuczynaki Federal Bu 230 S. Dearborn Sine Chicago, IL 60604	lding	MIAMI DIVIBION OFF 6400 N.W. 53rd Street Mizmi, FL 53166		Alaska Idaho Oregori	(680) 219-4291 (680) 219-4281 (680) 219-4281			
	ilirois Indiana	(312) 353-1234 (312) 353-1236 (312) 353-9166	Ficilita	(303) 560-4550	Washington	(600) 210-1418			
	Minnesota North Dakota Wisconsin	(312) 353-9168 (312) 353-9168 (312) 353-1236	NEWARK DIVISION (CO Mulberry Street, 21 Newark, NJ 07-102	OFFICE nd Floor	ST, LOUIS DIVISION 317 South 16th Street St Louis, MO 63103	OFFICE			
	DALLAS DIVISION O 10160 Technology Blv Dalas, TX 75220	FFICE d., Earl	New Jersey NEW ORLEANS DIVI		lowa Karasas Missouri	(668) 803-1179 (688) 803-1179 (688) 803-1179 (688) 803-1179			
	Ckinhoma Texas (Northern)	(888) 338-4704 (888) 338-4704	5636 N. Causeway Bi Lakeway III, Sulle 150 Melairle, LA 70002	NO NO	Nebraska South Dakota	(000) 003-1179			
	DERVER DIVISION O 115 Inverses Drive, E Englewood, CO 50112	ast	Alahama Ankanaas Louistana Misaksippi	(658) 514-5051 (659) 514-7302 (659) 514-7302 (658) 514-7302	Washington, D.C. Techworld Plaza 500 K Sireat, N.W., S Washington, D.C. 200	ulia 500			
	Colorado Mortana Utah Wyoming	(880) 328-8900 (880) 328-8900 (880) 328-8900 (880) 328-8900	NEW YORK DIVISION OD Tonth Aversus New York, NY 10011	* *	District of Columbia Maryland Virginia West Virginia	(677) 001-7074 (677) 330-8970 (677) 001-7074 (677) 330-8870			
NEW INST - Page 3			New York	(577) 653-5769 (212) 337-1593 (212) 337-1594		•			

RUG CHEDULES	Liked below are examples of the schedules with easign or contact the DEA office serving your area.	ace drug code r	numbers." if you are in need of additional information, see 21 C	FR 150
	SCHEBULE I		SCHEDULE 拼	
	NARCOTIC & NON-NARCOTIC		NARCOTTC BASIC CLASSES	000
	BARIC CLASSES	SOME	NAMED INCOMPLE CONSCIO	500
			2 upaceuphre	3064
	Acelophino	9319	Codeina up to 90 mg/du plus officer ingredients	9219
	Acadylimathodol	9801	Opplicace de prents to 30 militae la per open pront pruja	200
	Ally Arroting	9802 9803	Citychoceda Ineup to 90 mg/tis plea other ingredients Ethylicocenhie up to 15 mg/tis plea other ingredients Hydrocedose up to 15 mg/tis plea other ingredients Mospitina up to 50 mg/tiChmi-or gan plus other ingred.	900E
	Agitiscotylmothadol (cacage LAAM) Sulcientris	7433	Remarks as to 50 mod (Challer and the albert bread	90.10
	Destromoramide	9813	Option up to 500 mg/100m, plus with a active ingred.	200
	Chatrytiryptemine (DET)	7434		
	2.5 - Dimolhoryamphotomine (DMA)	7396	MONINARCOTIC BASIC CLASSES	- OOE
	Dinashytryptamina (DMT)	7435		
	Ekspirina (except hydrocrindae sat)	9056	Anabolic Starokta	400
	general Hydroxybutyric acid (except drug product)	2010	Bearghalamha	1224
	Hamin	9200	Busantaire	2100
	Mogaine Kalabembore	7290 2975	Overskiepi Pharmscortical Protest	7386 2016
	Execution model Alla fraction details (E. C.C.):	7315	GHB Drug Product (gamma-Hydracybulphic acid) Katandaa	736
	Lysargic acid diafnylamicia (1.50) Maritmana	7360	Malayarykan	257
	Medica	7361	Positiva bital plus nonconinstad scale ingredients	2371
	Mathagusion e	2355	Feedolater bittel suppository	Z271
	3_4 - Mathylenedickyomphalestina (MDA)	7400	Phonoissarine .	接货
	3,4 - Mothylonedioxymolicasaphotamine (MCMA)	7400	Seccionistist plus noncontrolled active ingresitants	2016
	a- Eliyi - 1 - Phony Cyclobacyter ina (PCE)	7435	Section tital suppository	23/16 23/21
	Payota	7415 7456	Thispariel Viriantitis	200
	1 - (14-Phenykyciobanykyp erakti na (PCP) Psilocybin	7437	41120000	200
	Palogn	7435		
	Salvabychocannabiness (THC)	7370	SCHEDULE IV	
	4-{1-{2-Thisnyly-cycloh ea y4 placeta ne	7470	NARCOTIC BASIC CLASSES	COD
	enternali e li	-	Contrepopolyphane du	2271
	SCHEDULE II	~~~~	Effective strig 25 kg dropine SO-Pole	9162
	MARICOTIC BASIC CLASSES	CODE	NON-MARCOTIC BASIC CLASSES	000
	Alekarondine Artieridine	2010 2020	Approba	2563
	Cocalne	9041	Bathi	214
	Codains	9050	Crincal Hydrate	248
	Destropropoxyphene (bulk)	9273	Onforeilleris postole	234
	Digitation y Latio	9170	Oraș de la compania del compania de la compania de la compania del compania de la compania del	ZF 64
	Dipranorphine (MSO-50)	9058	Caspin	278
	Elferin orphine	9190	Ekstilnjipropilon	161
	Electrica Hydrochieride (M-00) Gluichtemide	2057	Faritains	1671 275
		2950	Financeport Holosoport	276
	Hydrocodone	9193 9150	FORMORPH CONTRACTOR	260
	Hydromorphone	9130 9846	National Control of the Control of t	160
	Live-signaculylmothed of (LAAM) Lavaronanol	3220	Metatagata	200
	Maperidine	9230	Mephaterbini (Mothylphenebastici)	223
	Maid and on a	2250	Montainsio Montainsio	7031
	Morphine	2300		226
	Optien, powdered	9639	Misselan	255
	- Optom, raw	2600	Orangen Panidalyda	2555 2565
	Citysodone	9143	Ptanice	1531
	Organophone	9852 967 1	Posite cidno	SEC.
	Prince Straw Concentrate	2670 2670	Phenication	22(1
	Printry Straw Concentrates The balls in	9333	Fisairmin	164
			Pracogato	2764
	MON-MARCOTTO BASIC GLASSES	CODE	Guacişan	2601
			Tecanogram	240
	Amobarbital .	2125	Pikesias	2552
	Amphetanina	1100	Zerişakdanın	Z763
	Main smphatarring	1105		
	Malfryiphonidaka	1724		
	Frankish arbital	2270 7471	SCHEDULE V	
	Przezychone (PCP) Przezychone	1831		
				COD
	Phonylacetone	6501	· · · · · · · · · · · · · · · · · · ·	

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Fоrm-224a	RENEWAL APPLICATION FOR REGISTRA Under the Controlled Substances Act	ATION APPROVED OMB NO 1117-0014 FORM DEA-224a (1-05)
INSTRUCTIONS	1. To renew by mai complete this application. Keep a copy for your record: 2. Print clearly, using black or bite ink, or use a typewriter. 3. Section 5 should be completed only if your information has changed. 4. Mail this form to the address provided in Section 6 or use enclosed envel 5. Include the correct payment amount. FEE IS NON-REFUNDABLE. 8. If you have any questions call 800-882-9839 prior to submitting your app. 7. Save time - renew online at www.deadiversion.usdoj.gov.	lication,
:		
SECTION 1 DRUG SCHEDULES Check all that apply	Schedule II Narcotic Schedule III II Schedule II Non-Narcotic Schedule III I	22 Marie
SECTION 2	Check this box if you need official order forms – for the purcha	se of schedule II narcotic/schedule II non-narcotic controlled substances.
SECTION 3 A STATE LICENSE(S) Be sure to include both state license numbers if applicable	Are you currently authorized to prescribe, distribute, dispense, con e schedules for which you are applying under the laws of the state YES NO	nduct research, or otherwise handle the controlled substances in or jurisdiction in which you are operating or propose to operate? State License Number
		State Controlled Substance License Number (if required)
LIABILITY IMPORTANT: If you answered yes to these question(s) on previous appsication, you must continue to answer yes and provide a statement of explanation. All questions in this section must be answered.	B. Has the applicant ever been convicted of a crime in connectic or federal fav? C. Has the applicant ever surrendered (for cause) or had a federa suspended, restricted, or denied? D. Has the applicant eyer surrendered (for cause) or had a state registration revoked, suspended, denied, restricted, or placed. E. If the applicant is a corporation (other than a corporation who association, parthership, or pharmacy, has any officer, partner, crime in connection with controlled substances under state or fraid a federal controlled substance registration revoke professional license or controlled substance registration revoke probation?	professional license or controlled substance on probation? Is any such action pending? se stock is owned and traded by the public), stockholder, or proprietor been convicted of a federal law, or ever surrendered. for cause, or
SECTION 4	Date(s) of incident Location(s) of incident:
Applicants who have answered "YES" to questions B, C. D, or E above must provide a statement to explain such answers	Nature of incident:	
Use this space or altach a separate sheel and return with application	Result of incident:	
	RENEWAL - Page 1	

SECTION 5	Last Name (if registration is for individual) -OR- Business Name (if registration is for business)	
CHANGES TO APPLICANT IDENTIFICATION		
	First Name and Middle Initial	
DEBT COLLECTION INFORMATION	Coal County Name of Coal County Name of Coal Coan Coan Coan Coan Coan Coan Coan Coan	
Mändatory pursuant	Tax Identification Number (# registration is for business) Social Security Number (# registration is for in the second security Number (# registration is for in the second seco	Provide SSN or TIN.
to Debt Collection Improvements Act	Address Line 1 (street address)	See note #3 on bottom of page 2
IMPORTANT	Address Line 2	
Leave this section blank unless the registration	State	T-0-1-
information on front page is incorrect,	City State	Zip Code
	B siness Phone Number Business Fax Number	- Control of the Cont
	Saladaria de la como d	
SECTION 6	Make check payable to: Drug Enforcement Administration See page 4 of instructions for important information.	
METHOD OF PAYMENT	No.	Mail this form with payment to:
Check one form of payment only	American Express Discover Master Card Visa Credit Card Number Expiration Date	U.S. Department of Justice Drug Enforcement Administration
		P.O. Box 105616
Sign if paying by credit card		Atlanta, GA 30348-5616
Creun Caro	Signature of Card Holder	FEE IS NON-REFUNDABLE
	Printed Name of Card Holder	
SECTION 7 CERTIFICATION	Check this box if the applicant is a federal, state, or local government operated hospital, institution on address fines 1 and 2 in Section of the exempt institution on address fines 1 and 2 in Section cutificate.	itution or official. on 6, if it is not already on your
OF EXEMPTION from application fee	The undersigned hereby certifies that the applicant named hereon is a federal, state or local government oper and is exempt from payment of the application fee.	ated hospital, institution or official.
Provide the name and		
bhoue unimper of the centifying official	Signature of certifying official (other than applicant) Date	
	Print or type name and title of certifying official Telep	shone No. (required for yerification)
SECTION 8	I certify that the foregoing information furnished on this application is true and correct.	
APPLICANT'S SIGNATURE	Signature of applicant	Date
Sign in ink		Jul.
	Print or type name and fille of applicant WARNING: Section 643(a)(4)(A)(A) of Title 21; United States Code states that any person who knowingly or inte	ontonally famishes false or
	fraudulent information in the application is subject to imprisonment for not more than four years, a line of not	
In accordance with it valid OMB control nu the time for reviewing The Debt Collection I This number is required. PRIVACY ACT INFO	e issued unless a completed application form has been received (21 CFR 1301.13). he Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it display unbor for this collection is 1117-0014. Public reporting burden for this collection of information is estimated to avera grant providents, searching existing data sources, gathering and maintaining the data needed, and completing and rev improvements Act of 1990 (Pt. 104-134) requires that you furnish your Taypayer Identifying Number and/or Social S red for debt collection procedures should your fee become uncollectable.	ge 12 minutes per response, incurning iewing the collection of information. iecurity Number on this application.
AUTHORIT PURPOSE:	 Y: Section 302 and 303 of the Controlled Substances Act of 1970 (PL 91-513) and Debt Collection Improved taxpayer identifying number and/or social security number). To obtain information required for register applicants pursuant to the Controlled Substances Act of 1970. 	
ROUTINE L	USES: The Controlled Substances Act Registration Records produces special reports as required for statistical information from this system are made to the following categories of users for the purposes stated: A. Other federal law enforcement and regulatory agencies for law enforcement and regulatory purposes	f analytical purposes. Disclosures of s.
EFFECT:	B. State and local law enforcement and regulatory agencies for law enforcement and regulatory purpose. C. Persons registered under the Controlled Substances Act (PL 91-513) for the purpose of verifying the Failure to complete form will preclude processing of the application.	es.
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9711-508 (868) 9711-508 (868)	Mebraska South Dakota	PAIG A	3638 N. Causeway Lakeway III, Suite Metairie, LA 70002	ADTA-826 (888) AOTA-866 (888)	Oklahoma Texas (Nortnem)	
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N OFFICE e, West	SEATTLE DIVISIO 400 Second Avenu Seats, WA 96119	2286-91+ (888) 2286-91+ (888) 2286-91+ (888)	Hawaii Mevada Trust Temlory	(787) (787) N OFFICE N OFFICE	Puerto Rico L.S. Virgin Islands CHICAGO DIVISIOI	
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	SAN FRANCISCO 450 Golden Gate A P.O. Box 36035 Pan Francisco, CA	IVISION OFFICE	LOS ANGELES DI 255 Eset Temple S 9 AO (segeles), CA	ION OLEICE (898) 515-2114	Vermont CARIBBEAN DIVIS	
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106 ailie 301	3010 N. Znd Street PHOENIX DIVISIO	stice Center	EL PASO DIVISIO El Paso Federal Ju	(888) 219-7898 (888) 219-7898	South Carolina Tennessee	
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golbliuß leisba PSSQ1 rnoc	PHRADELPHY Pililism J. Green Philadelphia, PA 19 AT, shiladelphia, PA		DETROIT DIVISIO 431 Howard Street Detroit, MI 48226		ATLANATA DIVISION ATTA: Registration VB Seneg Street, SV Strinta, GA 30303	
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DRUG		and days and a	numbers. If you are in need of additional information, see 21 C	CO 1308
SCHEDULES	ursted below are examples of the schedules with assign contact the DEA office serving your area.	ned drug code r	sumpers. If you are in need of additional information, see 23 C	FR 1300
	SCHEDULE I		SCHEDULE III	
	NARCOTIC & NON-NARCOTIC BASIC CLASSES	CODE	NARCOTIC BASIC CLASSES	CODE
		9319	Buprenorphine Codeine up to 90 mg/du plus other ingredients	9064 9319
	Acetylmethadol	9601	Dihydrocodeineup to 90 mg/du plus other ingredients	9807
	Altylprodine	9602 9603	Ethylmorphine up to 15 mg/du plus other ingredients Hydrocodone up to 15 mg/du plus other ingredients	.9508 9806
	Aiphacetylmethadol (except LAAM) Bufotenine	7433	Morphine up to 50 mg/100ml or gm plus other ingred.	9810
	Dextromoramide	9613	Opium up to 500 mg/100m, plus other active ingred.	9809
	Diethyltryptamine (DET) 2.5 - Dimethoxyamphetamine (DMA)	7434 7396	NON-NARCOTIC BASIC CLASSES	CODE
	Dimethyltryptamine (DMT)	7435	· ·	4500
	Etorphine (except hydrochloride salt) ganuma-Hydroxybutyric acid (except drug product)	9056 2010	Anabošo Steroids Benzphetamine	4000 1228
	Heroin	9200	Butalbitai	2100
	bogaine	7280 9828	Dronabinot Pharmaceutical Product GHB Drug Product (gamma-Hydroxybutyric add)	7369 2010
•	Lysergic asid diethylamide (LSD)	7315	Ketamine	7295
	Marinuana	7380	Methypryton	2575 2271
	Mesoaline Methaqualone	7361 2565	Pentobarbital plus noncontrolled active ingredients Pentobarbital suppository	2271
	3.4 - Methylenedioxyamphetamine (MDA)	7400	Phendimetrazine	1815
	3.4 - Methytenedioxymethamphetamine (MDMA) n- Ethyl - § - Phenylcyclohexylamine (PCE)	7405 7455	Secobarbital plus noncontrolled active ingredients Secobarbital suppository	2316 2316
	Peyole	7415	Thiopenial	2329 2335
	1 - (1-Phenytoyolohexyt)pyπolatine (PCP) Psilocybin	7458 7437	Vinbarbital	2330
	Psilocyn	7438		
	Tetranydrocannabinois (THC) 1-[1-(2-Thienyl)-cyclohexyl]-oipendine	7370 7470	SCHEDULE IV	
	1-1-1- manhi-choosexhitacherose	7-44.5	NARCOTIC BASIC CLASSES	CODE
	SCHEDULE II		Dextropropoxyphene du Difenoxin 1mg/25ug atropine SO4/du	9278 9167
	NARCOTIC BASIC CLASSES	CODE	NON-NARCOTIC BASIC CLASSES	CODE
	Aphaprodine Anilendine	9010 9020	Afprzolam	2882
	Cocaine	9041	Barbital	2145
	Codeine	9050	Chloral Hydrate Chlordiazepoxide	2465 2744
	Dextropropoxyphene (bulk) Diphenoxylate	9273 9170	Clorazepate	2768
	Diprenorphine (M50-50)	9058	Diazeparo Diethylpropion	2765 1610
	Ethylmorphine Etorphine Hydrochlaride (M-99)	9190 9059	Fenfuramine	1670
	Glutethimide	255D	Flurazepam	2767 2762
	Hydrocodone	9193 9150	Halazepam Lorazepam	28 9 5
	Hydromorphone Levo-alphacetylmethadol (LAAM)	9848	Maziodol	1605
Ī	Levorphanol	9220 9230	Mebutamate Mephobarbital (Methylphenobarbital)	2800 2250
	Mepardine Methadone	925D	Meprobamate	2820
	Morphine	9300	Methohexital Midazolam	2 264 28 84
	Opium, powdered Opium, raw	9639 9600	Oxazepam	2835
	Oxycodone	9143	Paraldehyde Pemoline	2585 1530
	Oxymorphone Poppy Straw	9652 9871	Pentazocine	9709
	Poppy Straw Concentrate	9870	Phenobarbital	2285
	Thebaine.	9333	Phentermine Prazepam	1640 2764
	NON-NARCOTIC BASIC CLASSES	CODE	Quazepam Temazepam	2881 2925
	Amobarbital	2125	Trizzolam	2597
	Amphetamine	1100	Zolpidem	2783
	Methamphetamine Methylphenidata	1105 1724		
	Pentobarbital	2270	SCHEDULE V	•
	Phencyclidine (PCP) Phenmetrazine	7471 1831		CODE
	Phenylacetone	8501		
i	Secobarbital	2315	Codeine Cough Preparation (290mg/100ml or 100g)	9100

the term used to refer to the process in which we electronically instruct your financial institution to transfer funds from your account to our account, rather than processing your check. By sending your completed, signed check to us, you authorize us to copy your check and to use the account information from your check to make an electronic fund transfer from your account for the same amount as the check. If the electronic fund transfer cannot be processed for technical reasons, you authorize us to process the copy of

your check.
Insufficient Funds: The electronic funds transfer from your account will usually occur with 24 hours, which is faster than a check is normally processed. Therefore, make sure there are sufficient funds available in your checking account when you send us your check. If the electronic funds transfer cannot be completed because of insufficient funds, we may try to make the transfer up to two bines.

Transaction Information: The electronic fund transfer from your account will be on the account statement you receive from your financial institution. However, the transfer may be in a different place on your statement than the place where your checks normally appear. For example, it may appear under "other withdrawais" or "other transactions." You will not receive your original check book from your financial institution. For security reasons, we will destroy your original check, but we will keep a copy of the check for record-keeping purposes.

Your Pights: You should contact your financial institution immediately if you believe that the electronic fund transfer reported on your account statement was not properly authorized or is otherwise incorrect. Consumers have protections under Federal law called the Electronic Fund Transfer Act for an unauthorized or incorrect electronic fund transfer.

RENEW AL INST - Page 4

Form-363	APPLICATION FOR REGISTRATION Under the Narcotic Addict Treatment Act of 1974	APPROVED OMB NO 1117-0015 FORM DEA-363 (11-05) Previous editions are obsolete		
INSTRUCTIONS	1. To apply by mail complete hits application. Keep a copy for your records. 2. Phint ideally, using black or thin in the record of the special of the spec	REGISTRATION INFORMATION:		
	IMPORTANT: DO NOT SEND THIS APPLICATION AND APPLY ONLINE.	:		
		Fee for 1 year is \$130 FEE IS NON-REFUNDABLE		
	LCANT TIFIC ATTON			
	Name (if registration is for business entity or is fee exempt)			
Business or Facility	Name 2 ("doing business as", continuation of business name, or name of fee e	compt Institution)		
		West of the second seco		
Address Line 1 (stra	eet address)			
Address Line 2				
City		State Zip Code		
Business Phone Nu	umber Business Fax Number	•		
DEBT COLLECTION INFORMATION	Tax kieniilication Number			
Mandatory pursuant to Debt Collection Improvements Act	the control of the co	See note #3 on bottom of page 2.		
SECTION 2 BURNEAR ACTIVITY	- Addition	mpounder / Maintenance		
Check one box only	2000	inpounder / Defoxificazion		
SECTION 3	I Schedule II I Schedul	impounder / Maintenance and Detoxification		
DRUG &CHEDULES				
Check all that apply	Check this box if you require official order forms - for purchase or transfer of	scriedue II comoveo subtances.		
	re you currently authorized by the Food and Drug Administration for the busine	ss activity described in this application?		
FDA PERMIT Mandalory for approval	YER PENCING NO	FDA Number		
SECTION 5 Are you currently authorized to prescribe, distribute, dispense, conduct research, or otherwise handle the controlled substances in the schedules for which you are applying under the laws of the state or jurisdiction in which you are operating or propose to operate?				
STATE LICENSE(S)	YES, I have a Boence	State License Number		
	NOT REQUIRED by the state	and an anti-control and the state of the sta		
	NEW - Page 1	•		

SECTION 6	t. Has the applicant ever been convicted of a crims in connection with controlled substances	understate or federal law?						
LIABILITY	2. Has the applicant ever surrendered (for cause) or had a federal controlled substance registra restricted, or denied?	olion revoked, suspended,						
IMPORTANTI Ali questiona in this section must	Has the applicant ever surrendered (for cause) or had a state professional license or control revoked, suspended, denied, restricted, or placed on probation? Is any such action pendii.	Has the applicant ever surrendered (for cause) or had a state professional license or controlled substance registration revoked, suspended, denied, restricted, or placed on probation? Is any such action pending?						
	4. If the applicant is a comporation (other than a corporation whice stock is owned and traded in particularly in the any officer, particularly, in a subclimitary or proprietor been convicted controlled substances under statis or federal law, or ever surrendend, for cause, or had a fed registration revoked, suspended, restricted, denied, or ever had a state professional license registration revoked, suspended, denied, restricted or placed on probation?	by the public), association, of a crime in connection with the crime is connection with the crime is controlled substance or controlled substance						
EXPLANATION OF "YES" ANSWERS	Dale(s) of Incident: Location(s) of Incident							
Applicants who have answered "YES" to any of the four question above must provide a statement to explain such enswers	Nature of Incident:							
Use this space or altach a separate sheet and return with application	Result of Incident	·						
SECTION 7 CERTIFICATION OF EXEMPTION from application for	Check this box if the applicant is a federal, state, or local government-operated narcotic to be sure to enter name and address of the exampt institution in Section 1. The undersigned hereby cartifice that the applicant named hereon is a federal, state or local treatment program, and is exempt from payment of the application fee.							
Provide the name and phone number of the certifying official	Signature of certifying official (other than applicant)	ale						
	Print or type name and title of certifying official Te	leptions No. (required for vertification)						
SECTION 8 METHOD OF PAYMENT	Make check payable to: Drug Enforcement Administration See page 3 of instructions for important information.	Mail this form with payment to:						
Check one form of payment only	Card Number	U.S. Department of Justice Drug Enforcement Administration P.O. Box 26063 Washington DC 20036-9063						
Sign I paying by credit card	Signature of Card Holder	FEE IS NON-REFUNDABLE						
	Printed Name of Card Holder							
SECTION 0	I certify that the foregoing information fumished on this application is true and correct.	<u> </u>						
APPLICANTS SIGNATURE Sign in link	Signature of applicant	Date						
	Print or type name and title of applicant							
	WARNING: Section 643(a)(4)(A) of Title 21, United Biales Code states that any person who knowingly or traudulent information in the application is subject to imprisorment for not more than four years, a time of r	of more then \$30,000, or both.						
1. No registration will be leased unless a complet of application form has been received (21 CFR 1301, 13). 2. In accordance with the Paperwork Reduction Act of 1003, no permit is explicited to respond to a collection of information unless it displays a velid CMB control number. The valid CMB control number for this collection is 1117-0013. Public reporting burden for this collection of information is entimated to everage 30 minutes per response, healthn's time for creatwaying instructions, exerciting exhibits got allesting and methabring the data needed, and completing and reviewing the collection of information. 5. The Debt Collection Improvements Act of 1906 [PL 104-134] requires that you furnish your Taxpayer Identifying Number and/or Social Security Number on this application. This number is required for debt collection procedures should your test become uncollectable. 4. PRIVACY ACT INFORMATION.								
AUTHORITY PURPOSE: ROUTINE U	(f) Section Juzzano duo on he Controlled Billistances Act of 1970 (Pt. 91-5) sy and user Colection in tempsyor Identifying number and/or social security number. To defail information inquired to register applicants pursuant to the Controlled Substances Act of The Controlled Substances Act of t	nprovements Act or 1995 (PL 194-134) (for 1970). 1970. Istitud analytical purposes. Disclosures of 1996. Poses.						
EFFECT:	C. Persons registered under the Control of Substances Act (PL 91-513) for the purpose of verifyin Fature to complete form will practicate processing of the application. NEW - Page 2	g the registration of customers.						

Form-363	APPLICATION FOR REGISTRATION Supplementary Instructions and Information
ADDITIONAL INSTRUCTIONS	SECTION 1, APPLICANT IDENTIFICATION - Information must be typed or printed in the blocks provided to help reduce data entry errors.
	Fee exempt applicant should list the name and address of the fee exempt institution. A physical address is required; a post office box may be included after the street address.
	Applicant must enter a valid tax identification number (TIN). Debt collection information is mandatory pursuant to the Debt Collection Improvement Act of 1996.
	SECTION 2. BUSINESS ACTIVITY, Indicate only one.
	SECTION 3, DRUG SCHEDULES - Applicant should check all drug schedules to be handled. However, applicant must still comply with state requirements; federal registration does not overrule state restrictions.
	Check the order form box only if you intend to purchase or to transfer schedule il controlled substances. Order forms will be mailed to the registered address following issuance of a Certificate of Registration.
	SECTION 4. FDA PERMIT - Authorization by the Food & Drug Administration is mandatory for DEA Registration approval. Enter the status of your FDA authorization and the FDA number.
	SECTION 5. STATE LICENSE(S) - Federal registration by DEA is based upon the applicant is compliance with applicable state and local laws.
-	Applicant should contact the local state licensing authority prior to completing this application. Check that you are currently authorized by the state and provide your state license number. If state licensing is not required, indicate "Not required by this state".
	SECTION 6. LIABILITY - Applicant must answer all four questions for the application to be accepted for processing
-	If you answered "Yes" to any question, provide an explanation in the space provided. If additional space is required, you may attach a separate sheet of paper.
	SECTION 7. CERTIFICATE OF EXEMPTION - Exemption from payment of application fee is limited to federal, state or local government-operated narcotic treatment program.
	The applicant's superior or agency officer must certify exempt status. The signature, authority title, and telephone number of the certifying official (other than the applicant) must be provided.
	SECTION 9. METHOD OF PAYMENT - Indicate the desired method of payment. Make checks payable to "Drug Enforcement Admi nistration". Third-party checks or checks drawn on foreign banks will not be accepted.
	FEES ARE NON-REFUNDABLE.
	SECTION 9. APPLICANT'S SIGNATURE - Must be the original signature (in ink) of the applicant.

Notice to Registrants Making Payment by Check

Authorization to Convert Your Check: If you send us a check to make your payment, your check will be converted into an electronic fund transfer. "Electronic fund transfer" is the term used to refer to the process in which we electronically instruct your financial institution to transfer funds from your account to our account, rather than processing your check. By sending your completed, signed check to us, you authorize us to copy your check and to use the account information from your check to make an electronic fund transfer from your account for the same amount as the check. If the electronic fund transfer cannot be processed for technical reasons, you authorize us to process the copy of your check.

Insufficient Funds: The electronic funds transfer from your account will usually occur with 24 hours, which is faster than a check is normally processed. Therefore, make sure there are sufficient funds available in your checking account when you send us your check. If the electronic funds transfer cannot be completed because of insufficient funds, we may try to make the transfer up to two times.

Transaction Information: The electronic fund transfer from your account will be on the account statement you receive from your financial institution. However, the transfer may be in a different place on your statement than the place where your checks normally appear. For example, it may appear under "other withdrawals" or "other transactions." You will not receive your original check back from your financial institution. For security reasons, we will destroy your original check, but we will keep a copy of the check for record-keeping purposes.

Your Rights: You should contact your financial institution immediately if you believe that the electronic fund transfer reported on your account statement was not properly authorized or is otherwise incorrect. Consumers have protections under Federal law called the Electronic Fund Transfer Act for an unauthorized or incorrect electronic fund transfer.

MEW INST-Page 3

APPLICATION FOR REGISTRATION Form-363 Supplementary Instructions and Information CONTACT Information can be found on our web site at www.deadiversion.usdoj.gov 1. INTERNET: INFORMATION 2. TELEPHONE: Headquarters Call Center: (800) 882-9539 Drug Enforcement Administration P.O. Box 28083 Washington DC 20038-9083 3. WRITTEN INQUIRIES: 4. DEA OFFICES: DEA Offices are flated below (800, 877, and 888 are toll-free numbers). ATLANTA DIVISION OFFICE ATTN: Registration 75 Spring Street, SW, Suite 800 Atlanta, GA 30303 PHILADELPHIA DIVISION OFFICE **DETROIT DIVISION OFFICE** Willam J. Green Federal Building 600 Arch Street, Room 10224 Phiadelphia, PA 19106 431 Howard Street Detroit, MI 48226 (800) 230-6844 (800) 230-6844 (800) 230-6844 Kentucky (888) 869-9935 (888) 219-8689 (866) 533-6983 (888) 393-8231 (888) 393-8231 Michigan Ohio Georgia North Carolina Delaware Pennsylvania South Carolina PHOENIX DIVISION OFFICE 3010 N. 2nd Street, Suite 301 Phoenix, AZ 85012 Tennessee (888) 219-7898 EL PASO DIVISION OFFICE El Paso Federal Justice Center 600 South Mesa Hills Drive, Suite 2000 El Paso, TX 79912 **BOSTON DIVISION OFFICE** JFK Federal Building 15 New Sudbury Street, Room E400 Boston, MA 02203-0131 (800) 741-0902 New Mexico (915) 832-6014 SAN DIEGO DIVISION OFFICE 4560 Viewridge Averue San Diego, CA 92123-1637 (617) 557-2200 (888) 272-5174 (617) 557-2468 (888) 272-5174 (617) 557-2200 (888) 272-5174 HOUSTON DIVISION OFFICE 1433 West Loop South, Suite 600 Houston, TX 77027-9506 Connecticut Maine Massachusetts California (Southern (800) 284-1152 New Hampshire Rhode Island Texas (S. & Central) (800) 743-0595 SAN FRANCISCO DIVISION OFFICE Vermont LOS ANGELES DIVISION OFFICE 255 East Temple Street, 20th Floor Los Angeles, CA 90012 450 Golden Gate Avenue, 14th Floo P.O. Box 36035 San Francisco, CA 94102 CARIBBEAN DIVISION OFFICE P.O. Box 2167 San Juan, PR 00922-2167 California (S. Central) (213) 621-6960 Haweii (889) 415-9822 Nevada (888) 415-9822 Trust Territory (213) 894-2216 California (Northern) (888) 304-3251 Puerto Rico (787) 775-1766 U.S. Virgin Islands (787) 775-1766 SEATTLE DIVISION OFFICE 400 Second Avenue, West Seattle, WA 98119 CHICAGO DIVISION OFFICE Kluczynski Federal Building 230 S. Deerboom Street, Suite 1200 MIAMI DIVISION OFFICE (888) 219-4261 (888) 219-4261 (888) 219-4261 (888) 219-1418 8400 N.W. 53rd Street Miami, FL 33166 Alaska Idaho Chicago, IL 60604 Oregon Weahington (312) 353-1234 (312) 353-1236 (312) 353-9166 (312) 353-9166 (312) 353-1236 (305) 590-4880 Illinois **NEWARK DIVISION OFFICE** ST. LOUIS DIVISION OFFICE Minnesota North Dakota Wisconsin 80 Mulberry Street, 2nd Floor Newark, NJ 07102 317 South 16th Street St. Louis, MO 63103 (888) 803-1179 (868) 803-1179 (888) 803-1179 (888) 803-1179 **DALLAS DIVISION OFFICE** (888) 356-1071 New Jersey 10160 Technology Blvd., East Dallas, TX 75220 Kensas NEW ORLEANS DIVISION OFFICE 3838 N. Causeway Blvd Lakeway III, Suite 1800 Metairie, LA 70002 Missouri Nebraska Oklahoma (888) 336-4704 Texas (Northern) (889) 336-4704 South Daketa (888) 803-1179 WASHINGTON, D.C. DIVISION OFFICE ledtworld Plaza 800 K Street, N.W., Suite 500 Weshington, D.C. 20001 (888) 514-8051 (888) 514-7302 (888) 514-7302 (888) 514-7302 DENVER DIVISION OFFICE 115 Invernees Drive, East Englewood, CO 80112 Arkansas Louisiana Mississippi (877) 801-7974 (877) 330-6670 (877) 801-7974

NEW INST - Page 4

(877) 883-5789 (212) 337-1593 (212) 337-1594

NEW YORK DIVISION OFFICE

99 Tenth Avenue New York, NY 10011

New York

District of Columbia

(877) 330-6670

Maryland Virginia West Virginia

(800) 326-6900 (800) 326-6900 (800) 326-6900 (800) 326-6900

Colorado

Montana

Wyoming

Form-363a		ICATION FOR REGISTRATION Addict Treatment Act of 1974	APPROVED OMB NO 1117-0015 FORM DEA-363a (11-05) Previous editions are obsolete
INSTRUCTIONS	 Print clearly, using black or Section 1 should be completed. Mail this form to the addrest include the correct paymer If you have any questions. 	this application. Keep a copy for your records. blue ink, or use a typewriter. eled only if your information has changed. ss provided in Section 7 or use enclosed envelope. I amount, FEE IS NON-REFUNDABLE. contact 800-882-9639 prior to submitting your application. at www.deadviersion.usdorj.gov.	REGISTRATION INFORMATION : DEA # REGISTRATION EXPIRES
	IMPORTANT; DO NOT SEN	O THIS APPLICATION AND APPLY ONLINE.	
			FEE IS NON-REFUNDABLE
SECTION 1 APP	LICANT .		
Ducinoce or Eacilib	u Nama fif ranistralian is for t	ousiness entity or is fee exempt)	
DUSINESS OF ACIN	y wante (in registration is to c	distress entity or is recleaning.	
Business or Facility	v Name 2 ("doing business a	s", continuation of business name, or name of fee e	xempt institution)
			THIN NOTE.
Address Line 1 (str	reet address)		
Address Line 2			
City			State Zip Code
	71.01.0		
Busin as Phone N	umber	Business Fax Number	
DEBT COLLECTION INFORMATION		Tax Identification Number	·
Mandatory pursuant to Debt Collection Improvements Act			See note #3 on bottom of page 2;
SECTION 2 DRUG SCHEDULES	Schedule II	Schedule III	·
Check all that apply	Check this box if you re	equire official order forms - for purchase or transfer of s	chedule () controlled substances.
SECTION 3	Are you currently authorized	by the Food and Drug Administration for the busine	ss activity described in this application?
	YES PENDING NO		
Mandatory for approval	a a u		FDA Number
SECTION 4 Are	you currently authorized to p schedules for which you are	orescribe, distribute, dispense, conduct research, or applying under the laws of the state or jurisdiction	otherwise handle the controlled substances in in which you are operating or propose to operate?
STATE LICENSE(S)	YES, I have a license NOT REQUIRED by this si	rte	State License Number
		•	•
	•	DENCHAL CO	

-				
SECTION 5	1. Has the applicant ever been convicted of a crime in connection with controlled substances under	r state or federal law?	YES	NO
LIABILITY	2. Has the applicant ever surrendered (for cause) or had a federal controlled substance registration restricted, or denied?	revoked, suspended,	L	I
IMPORTANT: All questions in this section must	Has the applicant ever surrendered (for cause) or had a state professional license or controlled surrevoked, suspended, denied, restricted, or placed on probation? Is any such action pending?			
	4. If the applicant is a corporation (other than a corporation whose stock is owned and traded by the partnership, or pharmacy, has any officer, partner, stockholder, or proprietor been convicted of a controlled substances under state or federal law, or ever surrendered, for cause, or had a federal registration revoked, suspended, restricted, denied, or ever had a state professional license or co registration revoked, suspended, denied, restricted or placed on probation?	e public), association, crime in connection with controlled substance ntrolled substance	J	
EXPLANATION OF "YES" ANSWERS	Date(s) of incident Location(s) of incident			
Appacants who have answered "YES" to any of the four question above must provide a statement to explain such answers				
Use this space or attact a separate sheet and return with application	Result of incident:			
SECTION 6 CERTIFICATION OF EXEMPTION from application fee	Check this box if the applicant is a federal, state, or local government-operated narcotic treatmes are to enter name and address of the exempt institution in Section 1. The undersigned hereby certifies that the applicant named hereon is a federal, state or local government program, and is exempt from payment of the application fee.		:	
Provide the name and phone number of the pentitying official	Signature of certifying official (other than applicant) Date			
	Print or type name and title of certifying official Telepho	ONE No. (required for verific	ation)	
SECTION 7 METHOD OF PAYMENT	Make check payable to: Drug Enforcement Administration See page 3 of instructions for important information.	Mail this form with p	avmen	ot to:
Check one form of payment only	American Express Discover Master Card Visa Credit Card Number Expiration Date	U.S. Department of Drug Enforcement Ad P.O. Box 280 Washington DC 20	d Justi minist 183	ce ration
Sign if paying by credit card	Signature of Card Holder	FEE IS NON-REFU	NDAE	ILE
·	Printed Name of Card Holder			
SECTION 8	certify that the foregoing information furnished on this application is true and correct.			
APPLICANT'S SIGNATURE	Signature of applicant Date	e		
Sign in ink	Print or type name and title of applicant			
	WARNING: Section 843(a)(4)(A) of Title 21, United States Code states that any person who knowingly or intentifraudulent information in the application is subject to imprisonment for not more than four years, a fine of not mo			•
in accordance with the valid OMS control in the time for reviewing 3. The Debt Collection	Y: Section 302 and 303 of the Controlled Substances Act of 1970 (PL 91-513) and Debt Collection Improve	ge 30 minutes per response lewing the collection of infor lecurity Number on this appl	, includ mation, ication.	ing
PURPOSE ROUTINE (taxpayer identifying number and/or social security number). To obtain information required to register applicants pursuant to the Controlled Substances Act of 1970. USES: The Controlled Substances Act Registration Records produces special reports as required for statistical information from this system are made to the following categories of users for the purposes stated: A. Other federal law enforcement and regulatory agencies for law enforcement and regulatory purposes. B. State and local law enforcement and regulatory agencies for law enforcement and regulatory purposes. C. Persons registered under the Controlled Substances Act (PL 0.1-5.13) for the purpose of verifying the	i analytical purposes. Disclo i. es.	sures d	ď
EFFECT:	Failure to complete form will preclude processing of the application.	registration of customers,		

Form-363a		TION FOR RENEWAL
	Supplemen	tary Instructions and Information
ADDITIONAL INSTRUCTIONS	SECTION 1.	APPLICANT IDENTIFICATION - Entry of missing data or corrections ONLY must be typed or printed in the blocks provided to help reduce data entry errors. Enter changes in previously provided registration information, such as name change, address correction, or new phone numbers.
		Fee exempt applicant should list the name and address of the fee exempt institution.
		A physical address is required; a post office box may be included after the street address.
		Applicant should ensure that the tax identification number (TIN) on record is correct. Debt collection information is mandatory pursuant to the Debt Collection Improvement Act of 1996.
	SECTION 2.	DRUG SCHEDULES - Applicant should check all drug schedules to be handled. However, applicants must still comply with state requirements; tederal registration does not overrule state restrictions.
		Check the order form box only if you intend to purchase or to transfer schedule II controlled substances. Order forms will be mailed to the registered address following issuance of a Certificate of Registration renewal.
	SECTION 3.	FDA PERMIT - Authorization by the Food & Drug Administration is mandatory for DEA Registration approval. Enter the status of your FDA authorization and the FDA number.
	SECTION 4.	STATE LICENSE(S) - Federal registration by DEA is based upon the applicant 's compliance with applicable state and local laws.
		Applicant should contact the local state licensing authority prior to completing this application. Check that you are currently authorized by the state and provide your state license number. If state licensing is not required, indicate "Not required by this state".
	SECTION 5.	LIABILITY - Applicant must answer all four questions for the application to be accepted for processing
•		If you answered "Yes" to any question, provide an explanation in the space provided. If additional space is required, you may attach a separate sheet of paper.
	SECTION 6	CERTIFICATE OF EXEMPTION - Exemption from payment of application fee is limited to federal, state or local government-operated narcotic treatment program.
·		The applicant's superior or agency officer must certify exempt status. The signature, authority title, and telephone number of the certifying official (other than the applicant) must be provided.
	SECTION 7.	METHOD OF PAYMENT - Indicate the desired method of payment. Make checks payable to "Drug Enforcement Administration". Third-party checks or checks drawn on foreign banks will not be accepted.
		FEES ARE NON-REFUNDABLE.
	SECTION 8.	APPLICANT'S SIGNATURE - Must be the original signature (in ink) of the applicant.
		METHOD OF PAYMENT - Indicate the desired method of payment. Make checks payable to "Drug Enforcement Administration". Third-party checks or checks drawn on foreign banks will not be accepted. FEES ARE NON-REFUNDABLE.

Notice to Registrants Making Payment by Check

Authorization to Convert Your Check: If you send us a check to make your payment, your check will be converted into an electronic fund transfer. "Electronic fund transfer" is the term used to refer to the process in which we electronically instruct your financial institution to transfer funds from your account to our account, rather than processing your check. By sending your completed, signed check to us, you authorize us to copy your check and to use the account information from your check to make an electronic fund transfer from your account for the same amount as the check. If the electronic fund transfer cannot be processed for technical reasons, you authorize us to process the copy of your check.

Insufficient Funds: The electronic funds transfer from your account will usually occur with 24 hours, which is faster than a check is normally processed. Therefore, make sure there are sufficient funds available in your checking account when you send us your check. If the electronic funds transfer cannot be completed because of insufficient funds, we may try to make the transfer up to two times.

Transaction Information: The electronic fund transfer from your account will be on the account statement you receive from your financial institution. However, the transfer may be in a different place on your statement than the place where your checks normally appear. For example, it may appear under "other withdrawals" or "other transactions." You will not receive your original check back from your financial institution. For security reasons, we will destroy your original check, but we will keep a copy of the check for record-keeping purposes.

Your Rights: You should contact your financial institution immediately if you believe that the electronic fund transfer reported on your account statement was not properly authorized or is otherwise incorrect. Consumers have protections under Federal law called the Electronic Fund Transfer Act for an unauthorized or incorrect electronic fund transfer.

RENEWAL INST - Page 3

Form-363a	APPLICATION Supplementary Ins		· · · · · · · · · · · · · · · · · · ·			
CONTACT	1. INTERNET:	Inform	Information can be found on our web site at www.deadiversion.usdoj.gov			
INFORMATION	2. TELEPHONE:	Head	Headquarters Call Center: (800) 882-9539			
•	3. WRITTEN INQUIR	RIES: Drug P.O. I Wash	Enforcement Administration Sox 28083 ington DC 20038-8083	•		
	4. DEA OFFICES: D		sted below (800, 877, and 88	8 are toll-free numbers).		
ATLANTA DIVISION ATTN: Registration 75 Spring Street, Atlanta, GA 30303	n .	DETROIT D 431 Howard Detroit, MI 4		PHILADELPHIA DI William J. Green Fe 600 Arch Street, Ro Philadelphia, PA 19	ederal Building om 10224	
Georgia North Carolina	(888) 869-9935 (888) 219-8689 (866) 533-6983 (888) 219-7898	Kentucky Michigan Ohio	(800) 230-6844 (800) 230-6844 (800) 230-6844	Delaware Pennsylvania	(888) 393-8231 (888) 393-8231	
South Carolina Tennessee BOSTON DIVISIO	ON OFFICE	El Paso Fed 600 South N	VISION OFFICE eral Justice Center lesa Hills Drive, Suite 2000	PHOENIX DIVISION 3010 N. 2nd Street, Phoenix, AZ 85012	N OFFICE Suite 301	
JFK Federal Build 15 New Sudbury Boston, MA 02203	Street, Room E400	El Paso, TX New Mexico	•	Arizona	(800) 741-0902	
Connecticut Maine Massachusetts	(617) 557-2200 (888) 272-5174 (617) 557-2468	HOUSTON I	DIVISION OFFICE coop South, Suite 600	SAN DIEGO DIVIS 4560 Viewridge Ave San Diego, CA 921	enue	
New Hampshire Rhode Island	(888) 272-5174 (617) 557-2200	Texas (S. &		California (Southern		
Vermont CARIBBEAN DIV P.O. Box 2167	(888) 272-5174 ISION OFFICE	LOS ANGEL 255 East Ter Los Angeles	ES DIVISION OFFICE mple Street, 20th Floor CA 90012	SAN FRANCISCO 450 Golden Gate A P.O. Box 36035 San Francisco, CA	venue, 14th Floor	
San Juan, PR 009	322-2167	California (S	. Central) (213) 621-6960	California (Northern	(888) 304-3251	
Puerto Rico U.S. Virgin Island	• •	Hawaii Nevada Trust Territor	(888) 415-9822 (888) 415-9822	SEATTLE DIVISION 400 Second Avenue	N OFFICE	
CHICAGO DIVISI Kluczynski Federa 230 S. Dearborn S Chicago, IL 60604	al Building Street, Suite 1200 I	MIAMI DIVIS 8400 N.W. 5 Miami, FL 33	SION OFFICE 3rd Street 1166	Seattle, WA 98119 Alaska Idaho Oregon	(888) 219-4261 (886) 219-4261 (888) 219-4261 (888) 219-1418	
Illinois Indiana	(312) 353-1234	Florida	(305) 590-4880	Washington	(888) 219-1418	
Minnesota North Dakota Wisconsin	(312) 353-1234 (312) 353-1236 (312) 353-9166 (312) 353-9166 (312) 353-1236		IVISION OFFICE Street, 2nd Floor 07102	ST. LOUIS DIVISIO 317 South 16th Stre St. Louis, MO 6310	eet	
DALLAS DIVISIO 10160 Technology		New Jersey	(886) 356-1071	lowa Kansas	(888) 803-1179 (888) 803-1179	
Dallas, TX 75220	•	3838 N Car	ANS DIVISION OFFICE Iseway Blyd Suite 1800	Missouri Nebraska South Dakota	(888) 803-1179 (888) 803-1179 (888) 803-1179	
Okiahoma Texas (Northem)	(888) 336-4704	Lakeway III, Metairie, LA	70002	•	C. DIVISION OFFICE	
DENVER DIVISIO 115 Inverness Dri Englewood, CO 8	ve, East	Alabama Arkansas Louisiana Mississippi	(888) 514-8051 (888) 514-7302 (888) 514-7302 (888) 514-7302	Techworld Plaza 800 K Street, N.W., Washington, D.C. 2	Suite 500	
Colorado Montana Utah Wyoming	(800) 326-6900 (800) 326-6900 (800) 326-6900 (800) 326-6900	• •	DIVISION OFFICE	District of Columbia Maryland Virginia West Virginia	(877) 801-7974 (877) 330-6670 (877) 801-7974 (877) 330-6670	
		New York	(877) 883-5789 (212) 337-1593 (212) 337-1594			

RENEWAL INST - Page 4

From: To:

McAuliffe, John@CDCR

Subject:

DEA Registrations

Date:

Thursday, September 30, 2010 4:02:26 PM

Attachments:

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John,
I hope you able to get in contact with our DEA Diversion H.Q. International Drug Unit: & Local Land Land Land Land Land Land Land Land
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Practitioner's Manual

An Informational Outline of the Controlled Substances Act

2006 Edition

Joseph T. Rannazzisi
Deputy Assistant Administrator
Office of Diversion Control

Mark W. Caverly Chief, Liaison and Policy Section

This manual has been prepared by the Drug Enforcement Administration, Office of Diversion Control, to assist practitioners (physicians, dentists, veterinarians, and other registrants authorized to prescribe, dispense, and administer controlled substances) in their understanding of the Federal Controlled Substances Act and its implementing regulations as they pertain to the practitioner's profession.

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SECTION I - INTRODUCTION

This practitioner's manual is intended to summarize and explain the basic requirements for prescribing, administering, and dispensing controlled substances under the Controlled Substances Act (CSA), 21 USC 801-890, and the DEA regulations, Title 21, Code of Federal Regulations (CFR), Parts 1300 to 1316. Pertinent citations to the law and regulations are included in this manual.

Printed copies of the CFR and the complete regulations implementing the CSA may be obtained from:

Superintendent of Documents U.S. Government Printing Office Washington, D.C. 20402

Both the CFR and the *Federal Register* (which includes proposed and final regulations implementing the CSA) are available on the Internet through the U.S. Government Printing Office (GPO) website. This website, which provides information by section, citation and keywords, can be accessed at:

www.gpoaccess.gov/cfr/index.html

Unofficial copies of pertinent CFR citations may be found at:

www.DEAdiversion.usdoj.gov

This practitioner's manual may also be found on the Internet at DEA's Web Site (under "publications"):

www.DEAdiversion.usdoj.gov

Should any pertinent provisions of the law or regulations be modified in the future, DEA will issue a revised electronic version of this document, which will be published on the DEA Diversion Website.

If you encounter errors in this document, please notify:

Editor, DEA Practitioner's Manual c/o DEA, Office of Diversion Control Liaison and Policy Section Washington, D.C. 20537

Inquiries regarding topics within this document may be addressed to your local DEA field office (listed in Appendix E) or the address above.

Message from the Administrator

The Drug Enforcement Administration is pleased to provide this updated edition of the 1990 Practitioner's Manual to assist you in understanding your responsibilities under the Controlled Substances Act (CSA) and its implementing regulations. This manual will help answer questions that you may encounter in your practice and provide guidance in complying with federal requirements.

DEA remains committed to the 2001 Balanced Policy of promoting pain relief and preventing abuse of pain medications. In enforcing the CSA, it is DEA's responsibility to ensure drugs are not diverted for illicit purposes. Unfortunately, this country is now experiencing an alarming prescription drug abuse problem:

- Today, more than 6 million Americans are abusing prescription drugs—that is more than the number of Americans abusing cocaine, heroin, hallucinogens, and inhalants, combined.
- Researchers from the Centers for Disease Control and Prevention report that opioid
 prescription painkillers now cause more drug overdose deaths than cocaine and
 heroin combined.
- Today more new drug users have begun abusing pain relievers (2.4 million) than marijuana (2.1 million) or cocaine (1.0 million).

It is more important now than ever to be vigilant in preventing the diversion and abuse of controlled substances. This manual will help you do that by listing some safeguards you can take to prevent such diversion. It also explains registration, recordkeeping, and valid prescription requirements.

As a practitioner, your role in the proper prescribing, administering, and dispensing of controlled substances is critical to patients' health and to safeguarding society against the diversion of controlled substances. DEA is committed to working jointly with the medical community to ensure that those in need are cared for and that legitimate controlled substances are not being diverted for illegal use.

Karen P. Tandy Administrator September 2006

Preface

The Drug Enforcement Administration (DEA) was established in 1973 to serve as the primary federal agency responsible for the enforcement of the Controlled Substances Act (CSA). The CSA sets forth the federal law regarding both illicit and licit (pharmaceutical) controlled substances. With respect to pharmaceutical controlled substances, DEA's statutory responsibility is twofold: to prevent diversion and abuse of these drugs while ensuring an adequate and uninterrupted supply is available to meet the country's legitimate medical, scientific, and research needs. In carrying out this mission, DEA works in close cooperation with state and local authorities and other federal agencies.

Under the framework of the CSA, the DEA is responsible for ensuring that all controlled substance transactions take place within the "closed system" of distribution established by Congress. Under this "closed system," all legitimate handlers of controlled substances – manufacturers, distributors, physicians, pharmacies, and researchers – must be registered with DEA and maintain strict accounting for all distributions.

To carry out DEA's mission effectively, this 2006 Practitioner's Manual seeks to aid DEA registrants in complying with the CSA and its implementing regulations. The DEA understands that it can best serve the public interest by working with practitioners to prevent diversion of legal pharmaceutical controlled substances into the illicit market.

The federal controlled substances laws are designed to work in tandem with state controlled substance laws. Toward this same goal, DEA works in close cooperation with state professional licensing boards and state and local law enforcement officials to ensure that pharmaceutical controlled substances are prescribed, administered, and dispensed for legitimate medical purposes in accordance with federal and state laws. Within this cooperative framework, the majority of investigations into possible violations of the controlled substances laws are carried out by state authorities. However, DEA also conducts investigations into possible violations of federal law as circumstances warrant.

In the event a state board revokes the license of a practitioner, the DEA will take action and request a voluntary surrender of the practitioner's DEA registration. If the practitioner refuses to voluntarily surrender the registration, the DEA will pursue administrative action to revoke the DEA registration. The DEA may also pursue judicial action if there is sufficient evidence of illegal distribution or significant recordkeeping violations. All such actions are intended to deny the practitioner the means to continue to divert or abuse controlled substances as well as to protect the health and safety of the public and the practitioner.

The DEA is authorized under federal law to pursue legal action in order to prevent the diversion of controlled substances and protect the public safety. A lack of compliance may result in a need for corrective action, such as administrative action (that is, Letter of Admonition, an informal hearing or "order to show cause"), or in extreme cases, civil, or criminal action.

SECTION II – GENERAL REQUIREMENTS

Schedules of Controlled Substances

The drugs and other substances that are considered controlled substances under the CSA are divided into five schedules. A complete list of the schedules is published annually on an updated basis in the DEA regulations, Title 21 of the Code of Federal Regulations, Sections 1308.11 through 1308.15. Substances are placed in their respective schedules based on whether they have a currently accepted medical use in treatment in the United States and their relative abuse potential and likelihood of causing dependence when abused. Some examples of the drugs in each schedule are outlined below.

IMPORTANT NOTE:

All drugs listed in Schedule I have no currently accepted medical use in treatment in the United States and therefore may not be prescribed, administered, or dispensed for medical use. In contrast, drugs listed in Schedules II through V all have some accepted medical use and therefore may be prescribed, administered, or dispensed for medical use.

Schedule I Substances

Substances in this schedule have no currently accepted medical use in treatment in the United States, a lack of accepted safety for use under medical supervision, and a high potential for abuse.

Some examples of substances listed in Schedule I are: heroin; lysergic acid diethylamide (LSD); marijuana (cannabis); peyote; methaqualone; and methylene-dimethoxymethamphetamine ("ecstasy").

The CSA allows for bona fide research with controlled substances in Schedule I, provided that the FDA has determined the researcher to be qualified and competent, and provided further that the FDA has determined the research protocol to be meritorious. Researchers who meet these criteria must obtain a separate registration to conduct research with a Schedule I controlled substance.

Schedule II Substances

Substances in this schedule have a high potential for abuse with severe psychological or physical dependence.

Examples of single entity Schedule II narcotics include morphine, codeine, and opium. Other Schedule II narcotic substances and their common name brand products include: hydromorphone (Dilaudid®), methadone (Dolophine®), meperidine (Demerol®), oxycodone (OxyContin®), and fentanyl (Sublimaze® or Duragesic®).

Examples of Schedule II stimulants include amphetamine (Dexedrine® or Adderall®), methamphetamine (Desoxyn®), and methylphenidate (Ritalin®). Other Schedule II substances include: cocaine, amobarbital, glutethimide, and pentobarbital.

Schedule III Substances

Substances in this schedule have a potential for abuse less than substances in Schedules I or II.

Examples of Schedule III narcotics include combination products containing less than 15 milligrams of hydrocodone per dosage unit (i.e., Vicodin®) and products containing not more than 90 milligrams of codeine per dosage unit (i.e., Tylenol with codeine®).

Examples of Schedule III non-narcotics include benzphetamine (Didrex®), phendimetrazine, dronabinol (Marinol®), ketamine, and anabolic steroids such as oxandrolone (Oxandrin®).

Schedule IV Substances

Substances in this schedule have a lower potential for abuse relative to substances in Schedule III.

Examples of a Schedule IV narcotics include propoxyphene (Darvon® and Darvocet-N 100®).

Other Schedule IV substances include alprazolam (Xanax®), clonazepam (Klonopin®), clorazepate (Tranxene®), diazepam (Valium®), lorazepam (Ativan®), midazolam (Versed®), temazepam (Restoril®), and triazolam (Halcion®).

Schedule V Substances

Substances in this schedule have a lower potential for abuse relative to substances listed in Schedule IV and consist primarily of preparations containing limited quantities of certain narcotic and stimulant drugs. These are generally used for antitussive, antidiarrheal and analgesic purposes.

Examples include cough preparations containing not more than 200 milligrams of codeine per 100 milliliters or per 100 grams (Robitussin AC®, and Phenergan with Codeine®).

Registration Requirements

Under the CSA, the term "practitioner" is defined as a physician, dentist, veterinarian, scientific investigator, pharmacy, hospital, or other person licensed, registered, or otherwise permitted, by the United States or the jurisdiction in which the practitioner practices or performs research, to distribute, dispense, conduct research with respect to, administer, or use in teaching or chemical analysis, a controlled substance in the course of professional practice or research. Every person or entity that handles controlled substances <u>must</u> be registered with DEA or be exempt by regulation from registration.

The DEA registration grants practitioners federal authority to handle controlled substances. However, the DEA registered practitioner may only engage in those activities that are authorized under state law for the jurisdiction in which the practice is located. When federal law or regulations differ from state law or regulations, the practitioner is required to abide by the more stringent aspects of both the federal and state requirements. In many cases, state law is more stringent than federal law, and must be complied with in addition to federal law. Practitioners should be certain they understand their state as well as DEA controlled substance regulations.

Application for Registration

To obtain a DEA registration, a practitioner must apply using a DEA Form 224. Applicants may submit the form by hard copy or on-line. Complete instructions accompany the form. To obtain the application, DEA may be contacted at:

- www.DEAdiversion.usdoj.gov (DEA Diversion Internet Web Site)
- any DEA field office (see listing in Appendix E of this manual)
- DEA Headquarters' Registration Section in Washington, D.C. at 1-800-882-9539 (Registration Call Center)

The DEA Form-224 may be completed on-line or in hard copy and mailed to:

Drug Enforcement Administration Registration Unit Central Station P.O. Box 28083 Washington, D.C. 20038-8083

A sample DEA Form 224 – New Application for Registration, is located at Appendix H, DEA Forms.

Certificate of Registration

The DEA Certificate of Registration (DEA Form 223) must be maintained at the registered location in a readily retrievable manner and kept available for official inspection.

The CSA requires that a separate registration be obtained for each principal place of business or professional practice where controlled substances are manufactured, distributed, or dispensed. DEA has historically provided an exception that a practitioner who is registered at one location, but also practices at other locations, is not required to register separately for any other location at which controlled substances are only prescribed. If the practitioner maintains supplies of controlled substances, administers, or directly dispenses controlled substances at the separate location the practitioner must obtain a separate DEA registration for that location. The exception applies only to a secondary location within the same state in which the practitioner maintains his/her registration. DEA individual practitioner registrations are based on state authority to dispense or conduct research with respect to controlled substances. Since a DEA registration is based on a state license, it cannot authorize controlled substance dispensing outside that state. Hence, the separate registration exception applies only to locations within the same state in which practitioners have their DEA registrations.

A duplicate Certificate of Registration may be requested on-line. It appears on DEA's website, www.DEAdiversion.usdoj.gov, as follows:



DEA Form 223 Duplicate Certificate Login:
DEA Number (Required - Not Case Sensitive)
Last Name or Business Name (Required - Not Case Sensitive) As it appears on your registration. Example:
If "Smith, John Q MD" is on your registration, then enter: Smith
If "Smith's, Pharmacy" is on your registration, then enter: Smith's
If "Smith's Pharmacy" (no comma) is on your registration,
then enter: Smith's Pharmacy
SSN (Required if given on application)
Tax ID (Required if given on application)
Note: If you renewed your registration recently, your duplicate certificate may not contain the new expire date, as some processing time is required.
Hogin

Registration Renewals

Practitioner registrations must be renewed every three years. Renewal registrations use DEA Form 224a, Renewal Application for DEA Registration (see example at Appendix H, DEA Forms). The cost of the registration is indicated on the application form.

A renewal application is sent to the registrant approximately 45 days before the registration expiration date. The renewal application is sent to the address listed on the current registration certificate. If the renewal form is not received within 30 days before the expiration date of the current registration, the practitioner should contact the DEA registration office for their state, or DEA Headquarters at 1-800-882-9539, and request a renewal registration form.

The registration renewal application may be completed on-line at www.DEAdiversion.usdoj.gov, or in hard copy and mailed to:

Drug Enforcement Administration Registration Unit Central Station P.O. Box 28083 Washington, D.C. 20038-8083



Drug Registration > ODWIF

Registration Applications

Office of Diversion Control Web Interactive Forms (ODWIF)

RENEWAL APPLICATIONS

Log-in to Begin Renewal Process	Retail Pharmacy, Hospital/Clinic, Practitioner, Teaching Institution, or Mid-Level Practitioner, Manufacturer, Distributor, Researcher, Analytical Laboratory, Importer, Exporter, Domestic Chemicals
Öbtain Receipt	This link may be used ONLY if you have previously submitted a Renewal Application through this tool and need an additional receipt.
<u>Duplicate</u> <u>Certificate</u>	On-line tool to request certificates for additional, misplaced, illegible, or destroyed originals.

MINIMUM ON-LINE REQUIREMENTS

The DEA Forms listed below are for those applying to DEA for a controlled substance registration. Data will be entered through a secure connection to the ODWIF on-line web application system. Your web browser must support 128-bit encryption.

You will need to have the following information handy in order to complete the form:

- Tax ID number and/or Social Security Number
- State Controlled Substance Registration Information
- State Medical License Information
- Credit Card (MSA, MasterCard, Discover or American Express)

The ODWIF system can only process credit card transactions at this time. If you are paying by check, you will need to use the PDF version of the form, then print and mail the form to the address listed on the form

Change of Business Address

A practitioner who moves to a new physical location must request a modification of registration. A modification of registration can be requested on-line at www.DEAdiversion.usdoj.gov or in writing to the DEA field office responsible for that state. If the change in address involves a change in state, the proper state issued license and controlled substances registration must be obtained prior to the approval of modification of the federal registration. If the modification is approved, DEA will issue a new certificate of registration and, if requested, new Schedule II order forms (DEA Form-222, Official Order Form). A Renewal Application for Registration (DEA Form-224a) will only be sent to the registered address on file with DEA. It will not be forwarded.

Termination of Registration

Any practitioner desiring to discontinue business activities with respect to controlled substances must notify the nearest DEA field office (see Appendix E) in writing. Along with the notification of termination of registration, the practitioner should send the DEA Certificate of Registration and any unused Official Order Forms (DEA Form-222) to the nearest DEA field office.

Denial, Suspension or Revocation of Registration

Under the CSA, DEA has the authority to deny, suspend, or revoke a DEA registration upon a finding that the registrant has:

- 1. Materially falsified any application filed
- 2. Been convicted of a felony relating to a controlled substance or a List I chemical
- 3. Had their state license or registration suspended, revoked, or denied
- 4. Committed an act which would render the DEA registration inconsistent with the public interest
- 5. Been excluded from participation in a Medicaid or Medicare program

In determining the public interest, the CSA states the following factors are to be considered:

- 1. The recommendation of the appropriate state licensing board or professional disciplinary authority
- 2. The applicant's experience in dispensing or conducting research with respect to controlled substances
- 3. The applicant's conviction record under federal or state laws relating to the manufacture, distribution, or dispensing of controlled substances
- 4. Compliance with applicable state, federal, or local laws relating to controlled substances
- 5. Such other conduct which may threaten the public health and safety

Practitioner's Use of a Hospital's DEA Registration Number

Practitioners (e.g., intern, resident, staff physician, mid-level practitioner) who are agents or employees of a hospital or other institution may, when acting in the usual course of business or employment, administer, dispense, or prescribe controlled substances under the registration of the hospital or other institution in which they are employed, provided that:

- 1. The dispensing, administering, or prescribing is in the usual course of professional practice
- 2. Practitioners are authorized to do so by the state in which they practice
- 3. The hospital or institution has verified that the practitioner is permitted to dispense, administer or prescribe controlled substances within the state
- 4. The practitioner acts only within the scope of employment in the hospital or institution
- 5. The hospital or institution authorizes the practitioner to dispense or prescribe under its registration and assigns a specific internal code number for each practitioner so authorized (See example of a specific internal code number below):

Hospital	AB1234567-012	Physician's
DEA Registration	♦ •	Hospital Code
Number		Number

A current list of internal codes and the corresponding individual practitioners is to be maintained by the hospital or other institution. This list is to be made available at all times to other registrants and law enforcement agencies upon request for the purpose of verifying the authority of the prescribing individual practitioner.

Inappropriate Use of the DEA Registration Number

DEA strongly opposes the use of a DEA registration number for any purpose other than the one for which it was intended, to provide certification of DEA registration in transactions involving controlled substances. The use of DEA registration numbers as an identification number is not an appropriate use and could lead to a weakening of the registration system.

The Centers for Medicare and Medicaid Services has developed a National Provider Identification (NPI) number unique to each healthcare provider. The Final Rule for establishment of the NPI system was published in the Federal Register (FR 3434, Vol. 69, No. 15) by the Department of Health and Human Services on January 23, 2004. The effective date of this Final Rule was May 23, 2005; all covered entities must begin using the NPI in standard transactions by May 23, 2007.

Exemption of Federal Government Practitioners from Registration

The requirement of registration is waived for any official of the U.S. Army, Navy, Marine Corps, Air Force, Coast Guard, Public Health Service, or Bureau of Prisons who is authorized to prescribe, dispense, or administer, but not to procure or purchase controlled substances in the course of his/her official duties. Such officials shall follow procedures set forth in Title 21, CFR § 1306 regarding prescriptions, but shall state the branch of service or agency (e.g., "U.S. Army" or "Public Health Service") and the service identification number of the issuing official in lieu of the registration number required on prescription forms. The service identification number for a Public Health Service employee is his/her Social Security identification number.

If a Federal Government practitioners wish to maintain a DEA registration for a private practice, which would include prescribing for private patients, they must be fully licensed to handle controlled substances by the state in which they are located. Under these circumstances, the Federal Government practitioner will not be eligible for the fee exemption and must pay a fee for the registration.

SECTION III – SECURITY REQUIREMENTS

Required Controls

Title 21, CFR Section 1301.71(a), requires that all registrants provide effective controls and procedures to guard against theft and diversion of controlled substances. A list of factors is used to determine the adequacy of these security controls. Factors affecting practitioners include:

- 1. The location of the premises and the relationship such location bears on security needs
- 2. The type of building and office construction
- 3. The type and quantity of controlled substances stored on the premises
- 4. The type of storage medium (safe, vault, or steel cabinet)
- 5. The control of public access to the facility
- The adequacy of registrant's monitoring system (alarms and detection systems)
- 7. The availability of local police protection

Practitioners are required to store stocks of Schedule II through V controlled substances in a securely locked, substantially constructed cabinet. Practitioners authorized to possess carfentanil, etorphine hydrochloride and/or diprenorphine, must store these controlled substances in a safe or steel cabinet equivalent to a U.S. Government Class V security container.

Registrants should not employ as an agent or employee who has access to controlled substances:

- 1. Any person who has been convicted of a felony offense related to controlled substances
- 2. Any person who has been denied a DEA registration
- 3. Any person who has had a DEA registration revoked
- 4. Any person who has surrendered a DEA registration for cause

Lastly, practitioners should notify the DEA, upon discovery, of any thefts or significant losses of controlled substances and complete a DEA Form 106 regarding such theft or loss.

Safeguards for Prescribers

In addition to the required security controls, practitioners can utilize additional measures to ensure security. These include:

- 1. Keep all prescription blanks in a safe place where they cannot be stolen; minimize the number of prescription pads in use.
- 2. Write out the actual amount prescribed in addition to giving a number to discourage alterations of the prescription order.
- 3. Use prescription blanks only for writing a prescription order and not for notes.
- 4. Never sign prescription blanks in advance.
- 5. Assist the pharmacist when they telephone to verify information about a prescription order; a corresponding responsibility rests with the pharmacist who dispenses the prescription order to ensure the accuracy of the prescription.
- 6. Contact the nearest DEA field office (see Appendix E) to obtain or to furnish information regarding suspicious prescription activities.
- 7. Use tamper-resistant prescription pads.

SECTION IV – RECORDKEEPING REQUIREMENTS

Recordkeeping Requirements

Each practitioner must maintain inventories and records of controlled substances listed in Schedules I and II separately from all other records maintained by the registrant. Likewise, inventories and records of controlled substances in Schedules III, IV, and V must be maintained separately or in such a form that they are readily retrievable from the ordinary business records of the practitioner. All records related to controlled substances must be maintained and be available for inspection for a minimum of two years.

A registered practitioner is required to keep records of controlled substances that are dispensed to the patient, other than by prescribing or administering, in the lawful course of professional practice. A registered practitioner is not required to keep records of controlled substances that are prescribed in the lawful course of professional practice, unless such substances are prescribed in the course of maintenance or detoxification treatment. A registered practitioner is not required to keep records of controlled substances that are administered in the lawful course of professional practice unless the practitioner regularly engages in the dispensing or administering of controlled substances and charges patients, either separately or together with charges for other professional services, for substances so dispensed or administered. A registered practitioner is also required to keep records of controlled substances administered in the course of maintenance or detoxification treatment of an individual.

Inventory

Each registrant who maintains an inventory of controlled substances must maintain a complete and accurate record of the controlled substances on hand and the date that the inventory was conducted. This record must be in written, typewritten, or printed form and be maintained at the registered location for at least two years from the date that the inventory was conducted. After an initial inventory is taken, the registrant shall take a new inventory of all controlled substances on hand at least every two years.

Each inventory must contain the following information:

- 1. Whether the inventory was taken at the beginning or close of business
- 2. Names of controlled substances
- 3. Each finished form of the substances (e.g., 100 milligram tablet)
- 4. The number of dosage units of each finished form in the commercial container (e.g., 100 tablet bottle)
- 5. The number of commercial containers of each finished form (e.g., four 100 tablet bottles)

6. Disposition of the controlled substances

It is important to note that inventory requirements extend to controlled substance samples provided to practitioners by pharmaceutical companies.

Disposal of Controlled Substances

A practitioner may dispose of out-of-date, damaged, or otherwise unusable or unwanted controlled substances, including samples, by transferring them to a registrant who is authorized to receive such materials. These registrants are referred to as "Reverse Distributors." The practitioner should contact the local DEA field office (See Appendix E) for a list of authorized Reverse Distributors. Schedule I and II controlled substances should be transferred via the DEA Form 222, while Schedule III—V compounds may be transferred via invoice. The practitioner should maintain copies of the records documenting the transfer and disposal of controlled substances for a period of two years.

SECTION V – VALID PRESCRIPTION REQUIREMENTS

Prescription Requirements

A prescription is an order for medication which is dispensed to or for an ultimate user. A prescription is not an order for medication which is dispensed for immediate administration to the ultimate user (for example, an order to dispense a drug to an inpatient for immediate administration in a hospital is not a prescription).

A prescription for a controlled substance must be dated and signed on the date when issued. The prescription must include the patient's full name and address, and the practitioner's full name, address, and DEA registration number. The prescription must also include:

- 1. drug name
- 2. strength
- 3. dosage form
- 4. quantity prescribed
- 5. directions for use
- 6. number of refills (if any) authorized

A prescription for a controlled substance must be written in ink or indelible pencil or typewritten and must be manually signed by the practitioner on the date when issued. An individual (secretary or nurse) may be designated by the practitioner to prepare prescriptions for the practitioner's signature.

The practitioner is responsible for ensuring that the prescription conforms to all requirements of the law and regulations, both federal and state.

Who May Issue

A prescription for a controlled substance may only be issued by a physician, dentist, podiatrist, veterinarian, mid-level practitioner, or other registered practitioner who is:

- 1. Authorized to prescribe controlled substances by the jurisdiction in which the practitioner is licensed to practice
- 2. Registered with DEA or exempted from registration (that is, Public Health Service, Federal Bureau of Prisons, or military practitioners)
- 3. An agent or employee of a hospital or other institution acting in the normal course of business or employment under the registration of the hospital or other institution which is registered in lieu of the individual practitioner being registered provided that additional requirements as set forth in the CFR are met.

Purpose of Issue

To be valid, a prescription for a controlled substance must be issued for a legitimate medical purpose by a practitioner acting in the usual course of professional practice. The practitioner is responsible for the proper prescribing and dispensing of controlled substances. In addition, a corresponding responsibility rests with the pharmacist who fills the prescription. An order purporting to be a prescription issued not in the usual course of professional treatment or in legitimate and authorized research is not a valid prescription within the meaning and intent of the Controlled Substances Act and the person knowingly filling such a purported prescription, as well as the person issuing it, shall be subject to the penalties provided for violations of the provisions of law relating to controlled substances.

A prescription may not be issued in order for an individual practitioner to obtain controlled substances for supplying the individual practitioner for the purpose of general dispensing to patients.

Schedule II Substances

Schedule II controlled substances require a written prescription which must be signed by the practitioner. There is no federal time limit within which a Schedule II prescription must be filled after being signed by the practitioner.

While some states and many insurance carriers limit the quantity of controlled substance dispensed to a 30-day supply, there are no specific federal limits to quantities of drugs dispensed via a prescription. For Schedule II controlled substances, an oral order is only permitted in an emergency situation.

Refills

The refilling of a prescription for a controlled substance listed in Schedule II is prohibited (Title 21 U.S. Code § 829(a)).

Issuance of Multiple Prescriptions for Schedule II Substances

DEA has revised its regulations regarding the issuance of multiple prescriptions for schedule II controlled substances. Under the new regulation, which became effective December 19, 2007, an individual practitioner may issue multiple prescriptions authorizing the patient to receive a total of up to a 90-day supply of a schedule II controlled substance provided the following conditions are met:

1. Each separate prescription is issued for a legitimate medical purpose by an individual practitioner acting in the usual course of professional practice.

- 2. The individual practitioner provides written instructions on each prescription (other than the first prescription, if the prescribing practitioner intends for that prescription to be filled immediately) indicating the earliest date on which a pharmacy may fill each prescription.
- 3. The individual practitioner concludes that providing the patient with multiple prescriptions in this manner does not create an undue risk of diversion or abuse.
- 4. The issuance of multiple prescriptions is permissible under applicable state laws.
- 5. The individual practitioner complies fully with all other applicable requirements under the Controlled Substances Act and Code of Federal Regulations, as well as any additional requirements under state law.

It should be noted that the implementation of this change in the regulation should not be construed as encouraging individual practitioners to issue multiple prescriptions or to see their patients only once every 90 days when prescribing schedule II controlled substances. Rather, individual practitioners must determine on their own, based on sound medical judgment, and in accordance with established medical standards, whether it is appropriate to issue multiple prescriptions and how often to see their patients when doing so.

Facsimile Prescriptions for Schedule II Controlled Substances

In order to expedite the filling of a prescription, a prescriber may transmit a Schedule II prescription to the pharmacy by facsimile. The original Schedule II prescription must be presented to the pharmacist for review prior to the actual dispensing of the controlled substance.

In an emergency, a practitioner may call-in a prescription for a Schedule II controlled substance by telephone to the pharmacy, and the pharmacist may dispense the prescription provided that the quantity prescribed and dispensed is limited to the amount adequate to treat the patient during the emergency period. The prescribing practitioner must provide a written and signed prescription to the pharmacist within seven days. Further, the pharmacist must notify DEA if the prescription is not received.

Exceptions for Schedule II Facsimile Prescriptions

DEA has granted three exceptions to the facsimile prescription requirements for Schedule II controlled substances. The facsimile of a Schedule II prescription may serve as the original prescription as follows:

- 1. A practitioner prescribing Schedule II narcotic controlled substances to be compounded for the direct administration to a patient by parenteral, intravenous, intramuscular, subcutaneous or intraspinal infusion may transmit the prescription by facsimile. The pharmacy will consider the facsimile prescription a "written prescription" and no further prescription verification is required. All normal requirements of a legal prescription must be followed.
- 2. Practitioners prescribing Schedule II controlled substances for residents of Long Term Care Facilities (LTCF) may transmit a prescription by facsimile to the dispensing pharmacy. The practitioner's agent may also transmit the prescription to the pharmacy. The facsimile prescription serves as the original written prescription for the pharmacy.
- 3. A practitioner prescribing a Schedule II narcotic controlled substance for a patient enrolled in a hospice care program certified and/or paid for by Medicare under Title XVIII or a hospice program which is licensed by the state may transmit a prescription to the dispensing pharmacy by facsimile. The practitioner or the practitioner's agent may transmit the prescription to the pharmacy. The practitioner or agent will note on the prescription that it is for a hospice patient. The facsimile serves as the original written prescription.

Schedule III-V Substances

A prescription for controlled substances in Schedules III, IV, and V issued by a practitioner, may be communicated either orally, in writing, or by facsimile to the pharmacist, and may be refilled if so authorized on the prescription or by call-in.

Refills

Schedule III and IV controlled substances may be refilled if authorized on the prescription. However, the prescription may only be refilled up to five times within six months after the date on which the prescription was issued. After five refills or after six months, whichever occurs first, a new prescription is required.