

Bay Area UASI FY 2012 PROJECT PROPOSAL FORM

All projects should be saved using the following naming convention: Planning Hub Name_Project Name_Primary Bay Area Strategy Goal or Core City Name_Project Name_Primary Bay Area Strategy Goal or Sustainment Project Name_Primary Bay Area Strategy Goal. For example, an East Bay project focused on interoperable communications could be saved as East Bay_Interoperable Communications Equipment_Goal 3 and a core city training and exercise project could be saved as San Francisco_Training Coordinator_Goal 8.

I. BACKGROUND INFORMATION

I.A. Primary Point of Contact Information:	
Name	Lt. Brian Ferrante/ Melanie Jimenez
Agency	City of San José- San José Police Department (SJPd)
Position Title	Covert Response Unit Lieutenant
Phone	408-277-4115/ 408-537-1663
Fax	408-277-4226
Email	Brian.ferrante@sanjoseca.gov / Melanie.jimenez@sanjoseca.gov

I.B Operational Area/Major City Review and Signature:
City of San José

I.C Project Name:
South Bay Law Enforcement Surveillance Technology

I.D Total Project Cost:	Annual Sustainment Costs:
\$ 250,000	\$ 25,000

I.E MISSION AREAS									
Place an X in the box(s) that correspond to the mission area your project supports									
X	Prevent	X	Protect	X	Respond	X	Recover	X	Mitigation
<p>I.E Description - Briefly describe exactly what the project entails and what would be accomplished by funding the project. Describe what, if any, existing capabilities the Bay Area Region currently has in place concerning this project such as any plans developed, training delivered, or equipment purchased, etc.</p> <p>With UASI FY 2011 funding, the San Jose Police Department (SJPd) will begin the South Bay Surveillance Technology project. This project will be coordinated with the UASI funded Bay Area Fusion Center (NCRIC). We hope to acquire cell phone tracking technology that will fill a gap in the South Bay that is on par with what the Bay Area UASI has assisted in funding and has been deployed in Oakland (East Bay) and San Francisco (West Bay). We will work with the Fusion Center to partner with San Francisco and Oakland that will allow for a pooling of resources to ensure we have the ability to cover all of the Bay Area in deploying cell phone tracking technology in any region of the Bay Area at a moments notice. This will also ensure that there is coverage if a device in one area of the Bay Area has been deployed on another</p>									

investigation and another high profile investigation is initiated at the same time.

SJPD will procure the technology and it will be managed through the SJPD Covert Response Unit. This unit is tasked with tracking and apprehending serious and violent offenders; which could include persons involved in terrorist activities. By locating the technology with the SJPD it could be deployed very quickly anywhere in South Bay (Santa Clara County, Santa Cruz County, San Benito County and Monterey County). The system would also be able to serve as a redundancy to support any of the other counties in the San Francisco Bay Area.

This will fill a significant void since there is no such device in the South Bay. This device has been successfully utilized in Oakland and San Francisco to protect CI/KR. San José hopes to take the lead in establishing the same surveillance, apprehension and Search/Rescue tools throughout the South Bay. By locating the technology with the SJPD, it will fill the gap in the South Bay that is currently not immediately available.

II. ALIGNMENT WITH THE BAY AREA HOMELAND SECURITY STRATEGY

II.A BAY AREA SECURITY GOALS AND OBJECTIVES

Check the Bay Area goal(s) that this project directly supports.

1	<input type="checkbox"/>	Develop a Regional Risk Management and Planning Program
2	<input checked="" type="checkbox"/>	Enhance Information Analysis and Infrastructure Protection Capabilities
3	<input type="checkbox"/>	Strengthen Communications Capabilities
4	<input type="checkbox"/>	Strengthen Chemical, Biological, Radiological, Nuclear, and Explosives (CBRNE) Detection, Response, and Decontamination Capabilities
5	<input type="checkbox"/>	Enhance Medical and Public Health Preparedness
6	<input type="checkbox"/>	Strengthen Emergency Planning and Citizen Preparedness
7	<input type="checkbox"/>	Enhance Recovery Capabilities
8	<input type="checkbox"/>	Enhance Homeland Security Exercise, Evaluation and Training Programs

II.B List each Objective and Implementation Step (by number) from the Bay Area Homeland Security Strategy the project supports, and explain how the project supports the Objective and addresses gaps from the 2011 regional capability assessment.

OBJECTIVE	IMPLEMENTATION STEP(S)	EXPLAIN HOW THE PROJECT SUPPORTS IMPLEMENTATION OF THE OBJECTIVE	EXPLAIN WHICH GAP(S) FROM THE 2011 REGIONAL CAPABILITIES ASSESSMENT THIS PROJECT WILL HELP ADDRESS.
2.1	2.1-E1	We know terrorists are utilizing cell phone communications to plan events and to contact and coordinate against potential victims or locations of attack. This device will allow us to locate those involved in criminal terrorist activities as well as identify cell phones in use by known terrorists	Counter Terrorism and Law Enforcement- This project will allow us to deploy hardware and peripherals that assist regional law enforcement in countering terrorism and investigating and apprehending terrorists.

		and those involved in violent crime or crimes that could support terrorist activity.	
2.2	2.2-E2, 2.2-E3	We know terrorists are utilizing cell phone communications to plan events and to contact and coordinate against potential victims or locations of attack. This device will allow us to locate persons involved in terrorism as well as identify cell phones in use by terrorists and those involved in violent crime or crimes that could support terrorist activity.	Information Gathering and Recognition of Indicators and Warnings- This technology will be utilized regionally so that all law enforcement agencies have access to technology that can lead to criminal intelligence and prevent terrorist activity. Information gathered through criminal investigations that could have a terrorism nexus would be coordinated with the NCRIC (Fusion Center).
2.5	2.5-E3	<p>Terrorists often use cell phone technology to remotely activate destructive devices. This technology is capable of locating and identifying those cell phones used in this manner. The technology is also capable of disrupting the signal to potentially prevent detonation.</p> <p>We will utilize this device in coordination with the NCRIC to identify cell phone usage from criminal targets they are investigating. In conjunction with a PEN register, this device will identify the target cell phone as well as associated cell phones.</p>	Critical Infrastructure Protection- As, stated, this technology will be used to protect CI/KR. It will also be utilized for investigating criminal activity that could be related to terrorist activity including threats to our CI/KR across the Bay Area.

III. FUNDING

III.A Provide the Proposed Funding amount for this project towards applicable Planning, Organization, Equipment, Training, and Exercises (POETE) elements. (Please check the appropriate box(es) on the left side for all that may apply). Also, for each funding area selected, provide a brief narrative describing the items or services being funded.

ELEMENT		PROPOSED FUNDING
<input type="checkbox"/>	Planning	\$
<input type="checkbox"/>	Organization	\$
<input checked="" type="checkbox"/>	Equipment	\$ 250,000
<input type="checkbox"/>	Training	\$
<input type="checkbox"/>	Exercises	\$
TOTAL PROJECT COSTS		\$ 250,000

If applicable, provide the proposed funding amount from the project that can be obligated towards Law Enforcement Terrorism Prevention Activities (LETPA) funding.

\$ 250,000

Planning

Organization

Equipment List the equipment and the Authorized Equipment List number from the www.rkb.us website

AEL Number: 13LE-00-SURV

AEL Title: Equipment, Law Enforcement Surveillance

This product and accompanying hardware can be used to track a cellular phone(s) from a known suspect or determine the cellular phone that a suspect is using at a given time. In addition to the criminal investigative and national security applications, this technology is also suited for search and rescue operations, where a victim has a cellular telephone. The device can be used to locate missing persons or locate victims of natural disasters or mass casualty events.

Training

Exercises

III. B Other Source(s) of funding that is being requested or utilized for this project <i>(check the appropriate box(es) on the left side)</i>		
FUNDING SOURCE		PROPOSED FUNDING
<input type="checkbox"/>	SHSP	\$
<input type="checkbox"/>	CCP	\$
<input type="checkbox"/>	MMRS	\$
<input checked="" type="checkbox"/>	General Funds	\$ 189,000 (to fund officer that will coordinate this system)
<input checked="" type="checkbox"/>	Other Grant Funds	\$ 250,000
TOTAL OTHER FUNDING		\$ 439,000

Other Funds: Explain how any non-UASI funds, such as general funds, SHSP, ASPR grants, etc., will be used to implement this project.

SJPD will assign an officer to deploy and coordinate this technology. The funding for this position will be from general funds.

The other grant funding is \$250,000 from UASI 2011 funding.

III.C. For each selected Strategy Objective(s)/Target Capability listed in Question II.B., provide the proposed funding amount to be obligated from this project. The total funding listed for all Objectives/Capabilities should equal the total funding for the project.

Strategy Objective/Target Capabilities (Capabilities Selected Must Match with Section II.B.)	Amount of Funding per Objective/Capability
Planning	
Communications	
Community Preparedness and Participation	
Risk Management	
Intelligence and Information Sharing and Dissemination	
Information Gathering and Recognition of Indicators and Warnings	\$ 83,333
Intelligence Analysis and Production	
Counter Terror Investigation and Law Enforcement	\$ 83,333
CBRNE Detection	
Critical Infrastructure Protection	\$ 83,334
Epidemiological Surveillance and Investigation	
Laboratory Testing	
On-Site Incident Management	
Emergency Operations Center Management	
Critical Resource Logistics and Distribution	
Volunteer Management and Donations	
Responder Safety and Health	
Emergency Public Safety and Security	

Environmental Health	
Explosive Device Response Operations	
Fire Incident Response Support	
WMD and Hazardous Materials Response and Decontamination	
Citizen Evacuation and Shelter-in-Place	
Isolation and Quarantine	
Search and Rescue (Land-Based)	
Emergency Public Information and Warning	
Emergency Triage and Pre-Hospital Treatment	
Medical Surge	
Medical Supplies Management and Distribution	
Mass Prophylaxis	
Mass Care (Sheltering, Feeding, and Related Services)	
Fatality Management	
Structural Damage Assessment	
Restoration of Lifelines	
Economic and Community Recovery	

IV. Project Impacts and Outcomes

IV.A Project Outcomes: Describe the regional outcomes and benefits that will be achieved as a result of this project. When describing the regional outcomes and benefits, describe the number of operational areas in the region that will directly benefit from this project. The outcomes and benefits should demonstrate improvement towards building or maintaining capabilities and reducing risk. To the extent the project builds new capabilities, describe how those capabilities can be deployed outside the Bay Area to the FEMA Region IX and national level.

Surveillance Technology is a critical tool for law enforcement to counter and investigate criminal terrorist activity. It allows law enforcement to conduct criminal investigations that can lead to criminal intelligence that can prevent terrorist activity and protect critical infrastructure and key resources. It will also allow law enforcement to investigate and apprehend terrorists while they are conspiring to commit a terrorist act or after they have engaged in a terrorist act. The entire Bay Area will benefit by having this technology deployed to cover all areas of the region, including the south bay. Currently, the South Bay does not possess this technology. This project will procure the technology and make it available at a moment's notice to prevent and disrupt terrorist attacks which will protect both people and the critical infrastructure and key resources. While SJPd will make the technology available to the entire Bay Area, it will predominately be deployed in the four counties that make up the South Bay. This project fill the gap in the south bay by reducing risk through on-going investigations that could lead to uncovering terrorist activity and information sharing with the NCRIC (Fusion Center).

V. Project Management

V.A Identify up to ten milestones, with start and end dates, which will be achieved within the twelve month (12) period of performance under the FY 2012 UASI grant. No start date should begin before October 1, 2012 and no end date should end after November 30, 2013.

MILESTONE NUMBER	MILESTONE NAME/DESCRIPTION	START DATE (MM/DD/YYYY)	END DATE (MM/DD/YYYY)
1	Train assigned personnel	9/15/2012	9/30/2012
2	Procure Phase 2 hardware	7/01/2013	9/30/2013
3	Device configuration	10/01/2013	10/31/2013
4	Deploy technology	11/01/2013	-

V.B Project Status. Place an X in the corresponding box:

<input type="checkbox"/>	This project is a maintenance project.
<input type="checkbox"/>	This project is a self-contained project.
<input checked="" type="checkbox"/>	This project is part of an ongoing initiative.

Explain how funding for this project will either maintain a capability in the region, complete a self-contained project, or complete a larger initiative or a phase of a larger initiative of which this project is a part of? Explain how the project will result in completion.

This project will address and maintain the capabilities of Counter-Terror Investigation & Law Enforcement, Critical Infrastructure Protection, Information Gathering & Recognition and of Indicators and Warnings. The project continues building these capabilities in the region by deploying this technology to the South Bay where it currently does not exist, thereby filling a gap and acting as a redundancy that could be deployed to other regions if needed. The SJPd secured \$250,000 from FY 2011 UASI funding and is now requesting \$250,000 from FY 2012 UASI funding. SJPd will dedicate general funds for full-time staff to maintain this capability.

By securing this technology, every major city and all regions of the Bay Area will have access to this specific surveillance technology and it will complete the coverage of the entire Bay Area.

V.C Sustainment: Describe the long-term approach to sustaining the capabilities maintained or enhanced by this project without UASI funds once the grant performance period is over. To the extent funds are needed for sustainment in the future, will future grants be needed for sustainment or will local funds be used? If no funds are needed, explain why.

Once the technology is procured and deployed there will be on-going costs associated to the technology and the personnel to operate the system. The SJPD may request additional funding in future years for the on-going costs or for technology upgrades. The SJPD will continue to fund the personnel assigned to this technology from general funds. If UASI funding is not available for the on-going costs or upgrades the SJPD will attempt to find general fund dollars to fund the project.



Marin · Sonoma · Napa · Solano · Contra Costa · Alameda · San Mateo · Santa Clara · Santa Cruz · San Benito · Monterey · San Francisco · San Jose · Oakland

BAY AREA UASI PROJECT CHANGE REQUEST FORM

October 2012

Instructions:

- Use tab buttons or arrow keys only to navigate through form.
- Answer all questions or note that it is not applicable.
- Some fields are limited in number of characters. Please be succinct, but you may also add additional pages as needed.
- Contact your BAUASI Project Manager with questions.

1. Submitted by:	Rick Cheney		
2. Agency:	San Jose Police Department		
3. City/County:	San Jose/ Santa Clara		
4. Date Submitted:	11/20/12		
5. Email:	rick.cheney@sanjoseca.gov		
6. Phone Number:	408-537-1632		
7. Check all that apply:	a) Budget Change Request: <input type="checkbox"/> b) Scope Change Request: <input type="checkbox"/> c) Timeline Extension Request: <input checked="" type="checkbox"/>		
8. Project Name:	Law Enforcement Technology Equipment		
9. Current Project Budget:	\$250,000		
10. Current Project Deadline:	11/1/12		
11. Funding Source:	FY11 UASI	If other, explain:	
12. Is funding a core city allocation?	Yes: <input type="checkbox"/>	No: <input checked="" type="checkbox"/>	

13. Briefly explain the goals and deliverables of the project. To acquire cellphone tracking technology that will fill a gap in the South Bay that is on par with what the Bay Area UASI has assisted in funding and has been deployed in Oakland and San Francisco
14. What is the nature of the requested change(s)? (State new budget amount, explain scope change, and/or new project completion date.) We need to request an extension of the deliverable date from 11/1/12 to 10/31/13
15. Why is change needed? (e.g., Why is the project late, original budget estimates inaccurate, and/or original scope of work no longer appropriate?) If the requested changes are a result of planning or implementation errors, please explain how you have analyzed processes and learned lessons to avoid such errors in the future. The original deliverable date of 11/1/12 will be impossible to meet. We just received the 2011 UASI Agreement in September. We cannot appropriate funds until we receive the agreement. The funds have just been appropriated. The original deliverable date is unrealistic will be impossible to meet.
16. Do all the project stakeholders agree to the requested change(s)? Explain the relevant stakeholders and state the process by which they have been informed and have agreed to the requested change(s).

We had a meeting with all of the stakeholders and they have agreed to this extension, change of scope and budget change request.

17. For equipment projects, please answer the following, as appropriate:

a. What is the time required for an RFP or sole source justification?	We need at least six months to process a sole source justification N/A: <input type="checkbox"/>	
b. Equipment list, including AEL numbers and quantity/amount per AEL.	13LE-00-SURV <i>You may attach an additional sheet for the AEL list, if preferable.</i>	
c. Anticipated equipment delivery dates.	9/30/2013	
d. Anticipated installation completion dates.	10/30/2013	
e. Is an EHP required?	Yes: <input type="checkbox"/>	No: <input checked="" type="checkbox"/>
If yes, has it been obtained?	Yes: <input type="checkbox"/>	No: <input type="checkbox"/>
f. Is a Performance Bond required?	Yes: <input checked="" type="checkbox"/>	No: <input type="checkbox"/>
If yes, has it been obtained?	Yes: <input type="checkbox"/>	No: <input checked="" type="checkbox"/>
g. For EOC projects, has CalEMA approval been obtained?	Yes: <input type="checkbox"/>	No: <input type="checkbox"/>
h. For watercraft/aircraft projects has CalEMA approval been obtained?	Yes: <input type="checkbox"/>	No: <input type="checkbox"/>

18. Additional Information:

The total cost of this project is \$500,000. The first half of the funding in the amount of \$250K is in the 2011 UASI approved budget. The second half of the funding for this project in the amount of \$250K is in the 2012 UASI project proposals which are currently in the process of being approved by the CalEMA.

19. To be completed by BA UASI Management Team staff after review:

Reviewed by: (Name & Title)	Catherine Spaulding, AGM
Date:	12/18/2012
Approved: <input checked="" type="checkbox"/>	Not Approved: <input type="checkbox"/>
Comments:	timeline extension approval only. Scope and budget stays as originally established.



Maine Sonoma Napa Solano Contra Costa Alameda San Mateo Santa Clara Santa Cruz San Benito Monterey San Francisco San Joaquin Oakland

BAY AREA UASI PROJECT CHANGE REQUEST FORM

October 2012

Instructions:

- Use tab buttons or arrow keys only to navigate through form.
- Answer all questions or note that it is not applicable.
- Some fields are limited in number of characters. Please be succinct, but you may also add additional pages as needed.
- Contact your BAUASI Project Manager with questions.

1. Submitted by:	Rick Cheney		
2. Agency:	San Jose Police Department		
3. City/County:	San Jose/ Santa Clara		
4. Date Submitted:	11/20/2012		
5. Email:	rick.cheney@sanjoseca.gov		
6. Phone Number:	408-537-1632		
7. Check all that apply:	a) Budget Change Request: <input checked="" type="checkbox"/> b) Scope Change Request: <input checked="" type="checkbox"/> c) Timeline Extension Request: <input type="checkbox"/>		
8. Project Name:	Law Enforcement Technology Equipment		
9. Current Project Budget:	\$250,000.00		
10. Current Project Deadline:	10/31/13		
11. Funding Source:	FY12 UASI	If other, explain:	
12. Is funding a core city allocation?	Yes: <input type="checkbox"/>	No: <input checked="" type="checkbox"/>	

13. Briefly explain the goals and deliverables of the project.

To acquire cellphone tracking technology and equipment that will fill a gap in the South Bay. This technology and equipment has been purchased, through UASI funding for other agencies and have been successfully deployed. these agencies include Oakland and San Francisco. This project has \$250K in funding from the FY 2011 UASI grant and 250K from the FY 2012 UASI grant.

14. What is the nature of the requested change(s)? (State new budget amount, explain scope change, and/or new project completion date.)

Currently, the FY 12 UASI Funding is allocated to Law Enforcement Technology Equipment as prescribed on the original proposal. We are requesting the following changes: Reduce the amount for Law Enforcement Technology Equipment from \$250K to \$172K. We are requesting approval to utilize the balance of \$78,000 to purchase [REDACTED] in the amount of \$42,000, [REDACTED]. We are also requesting approval in the amount of \$20,000 for the [REDACTED]. The remaining balance of \$16,000 will be utilized for training by the vendor on the Law Enforcement Technology Equipment.

\$172,000 Law Enforcement Technology Equipment (AEL) 13LE-00-SURV
 \$ 42,000 Vehicle Specialized Emerg Mgmt (AEL) 12VE-00-SPEC
 \$ 20,000 Installation (AEL) 21GN-00-INST

\$ 16,000 Training

(AEL) 21GN-00-TRNG

15. Why is change needed? (e.g., Why is the project late, original budget estimates inaccurate, and/or original scope of work no longer appropriate?) If the requested changes are a result of planning or implementation errors, please explain how you have analyzed processes and learned lessons to avoid such errors in the future.

Upon drafting of the original project proposal these items should have been included, but were inadvertently left out. We have ascertained that better communications would alleviate this issue in the future and have implemented process enhancements to remedy this issue.

16. Do all the project stakeholders agree to the requested change(s)? Explain the relevant stakeholders and state the process by which they have been informed and have agreed to the requested change(s).

We had a meeting with all of the stakeholders and they have agreed to this extension, change of scope and budget change request.

17. For equipment projects, please answer the following, as appropriate:

a. What is the time required for an RFP or sole source justification?	We need at least six months to process an RFP and sole source justification	N/A: <input checked="" type="checkbox"/>
b. Equipment list, including AEL numbers and quantity/amount per AEL.	13LE-00-SURV, 12VE-00-SPEC, 21GN-00-INST, 21GN-00-TRNG <i>You may attach an additional sheet for the AEL list, if preferable.</i>	
c. Anticipated equipment delivery dates.	9/30/2013	
d. Anticipated installation completion dates.	10/30/2013	
e. Is an EHP required?	Yes: <input type="checkbox"/>	No: <input checked="" type="checkbox"/>
If yes, has it been obtained?	Yes: <input type="checkbox"/>	No: <input type="checkbox"/>
f. Is a Performance Bond required?	Yes: <input checked="" type="checkbox"/>	No: <input type="checkbox"/>
If yes, has it been obtained?	Yes: <input type="checkbox"/>	No: <input checked="" type="checkbox"/>
g. For EOC projects, has CalEMA approval been obtained?	Yes: <input type="checkbox"/>	No: <input type="checkbox"/>
h. For watercraft/aircraft projects has CalEMA approval been obtained?	Yes: <input type="checkbox"/>	No: <input type="checkbox"/>

18. Additional Information:

The total cost of this project is \$500,000. The first half of the funding in the amount of \$250K is in the 2011 UASI approved budget. The second half of the funding for this project in the amount of \$250K is in the 2012 UASI project proposals which are currently in the process of being approved by the CalEMA.

19. To be completed by BA UASI Management Team staff after review:

Reviewed by: (Name & Title)	Catherine Spaulding, AGM
Date:	1/14/2013
Approved: <input checked="" type="checkbox"/>	Not Approved: <input type="checkbox"/>
Comments:	Small update to the change request approved in 12/18/12